



**JSPS/PPBE
IP-3000**

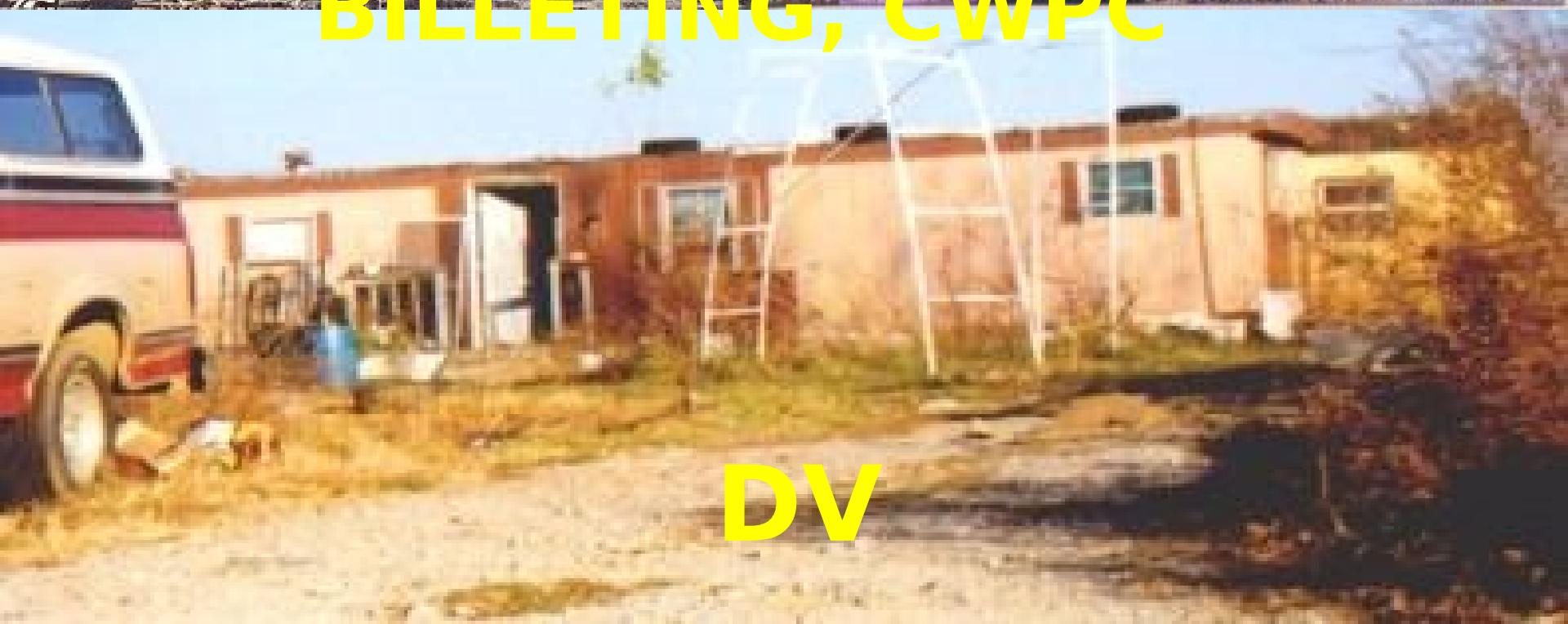


VOQ



VAQ

BILLETING, CWPC

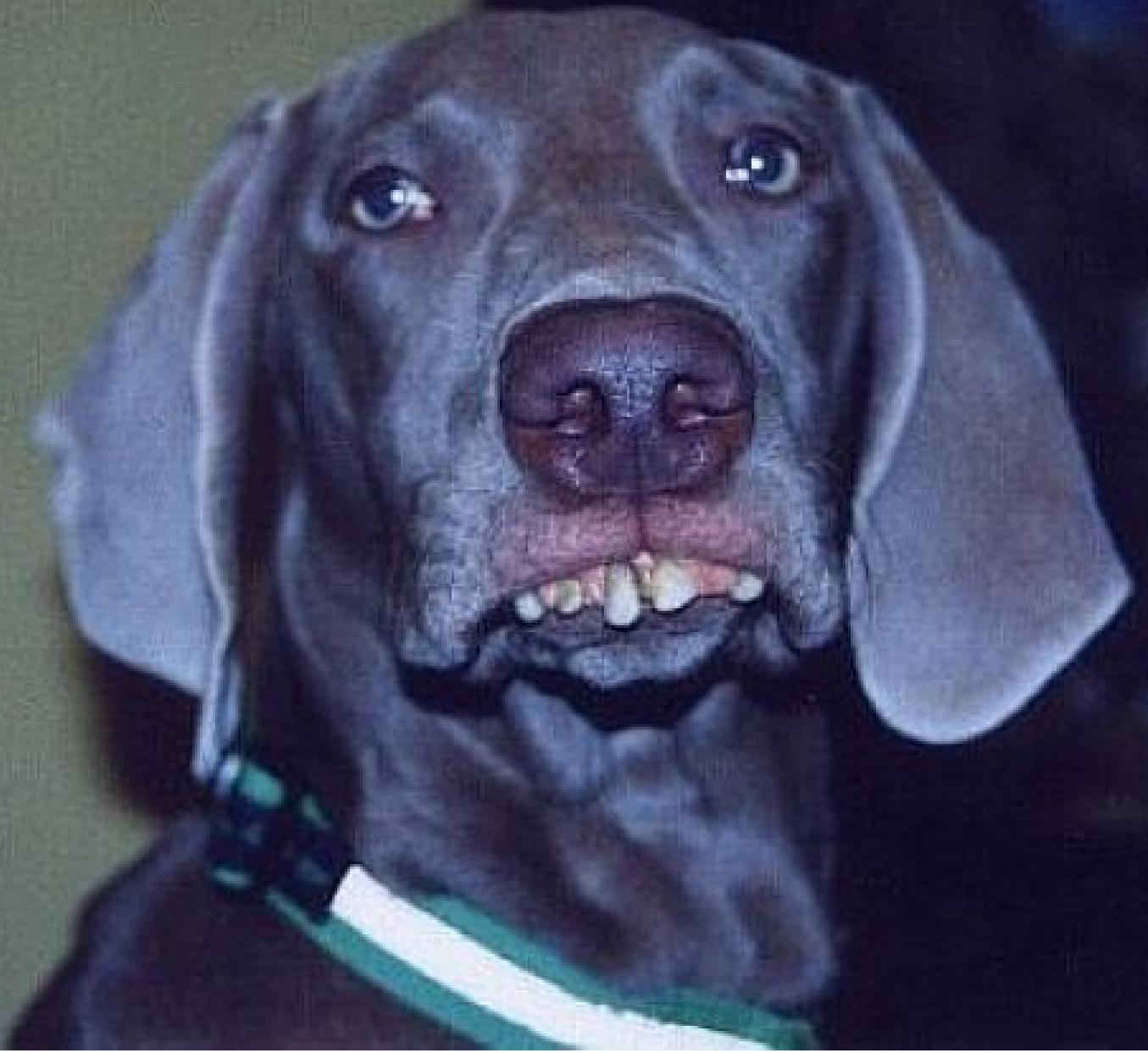




Redneck Swimming Pool



Red Neck HORSESHOES





Lesson Objective:

For each student to be able to describe the elements and functions of the JSPS and PPBE, and how they support the national security objectives and strategy.

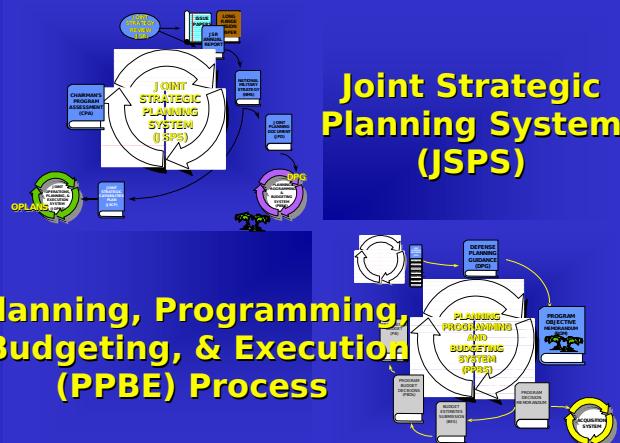
SOBs

- **How JSPS and PPBE support national objectives and strategy**
- **State the definition of the Joint Strategic Planning System (JSPS)**
- **Describe the five products of JSPS**
- **State the definition of the Planning, Programming, Budgeting & Execution (PPBE) process**
- **State the objective of the three phases of PPBE**

MILITARY PLANNING

FORCE PLANNING

JOINT OPERATION PLANNING

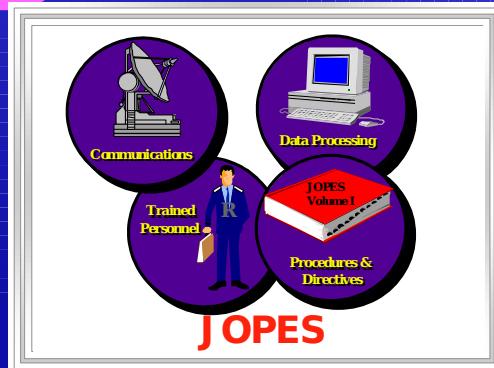
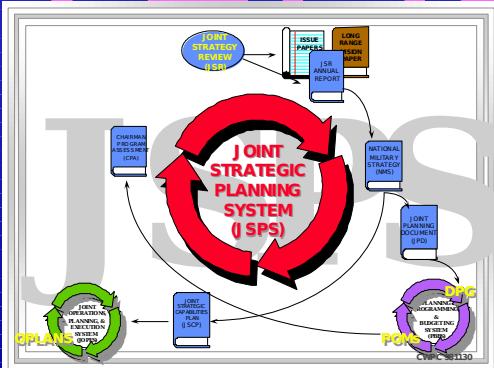
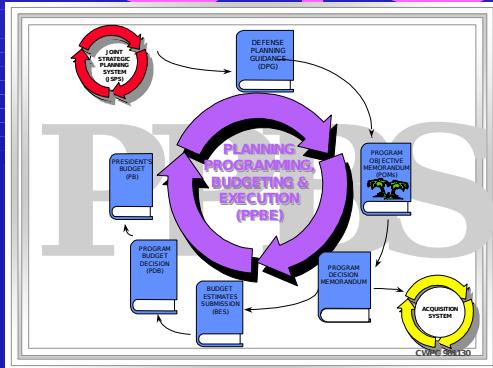


**IP-4100
Deliberate Planning**

National Security Planning, Programming, Council System Budgeting & Execution process SYSTEMS



NSCS



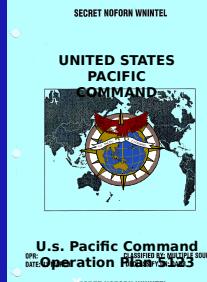
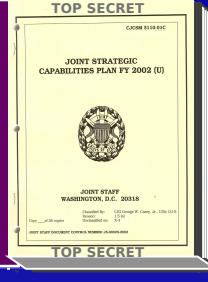
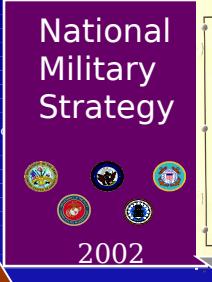
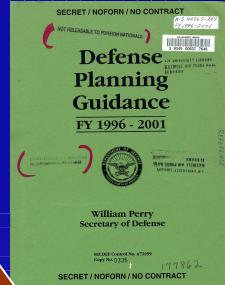
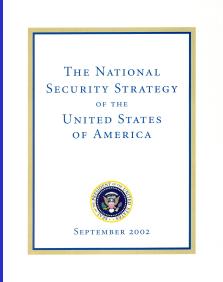
Defeat global terrorism;
Prevent attacks against
the US and its allies

Dissuade
Adversaries; Deter
Aggression; Defeat enemies

Military
Strategy

“Develop
Plans”

“Military
Capability”



Enhance
Global Security

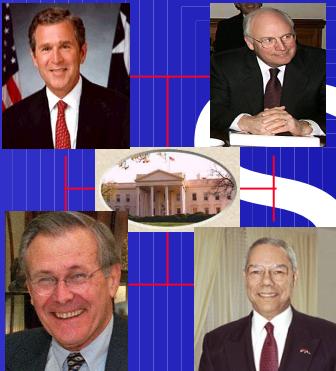
Ensure US
Security

Develop
Plans

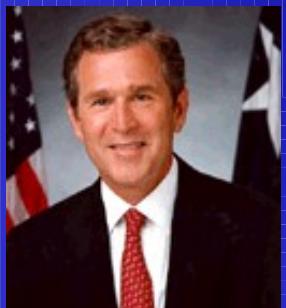
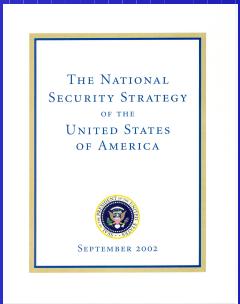


“TRANSLATE NATIONAL OBJECTIVES INTO MILITARY CAPABILITIES”

National Security
Council System
NSCS



SYSTEMS



National Security Strategy

THE NATIONAL
SECURITY STRATEGY
OF THE
UNITED STATES
OF AMERICA



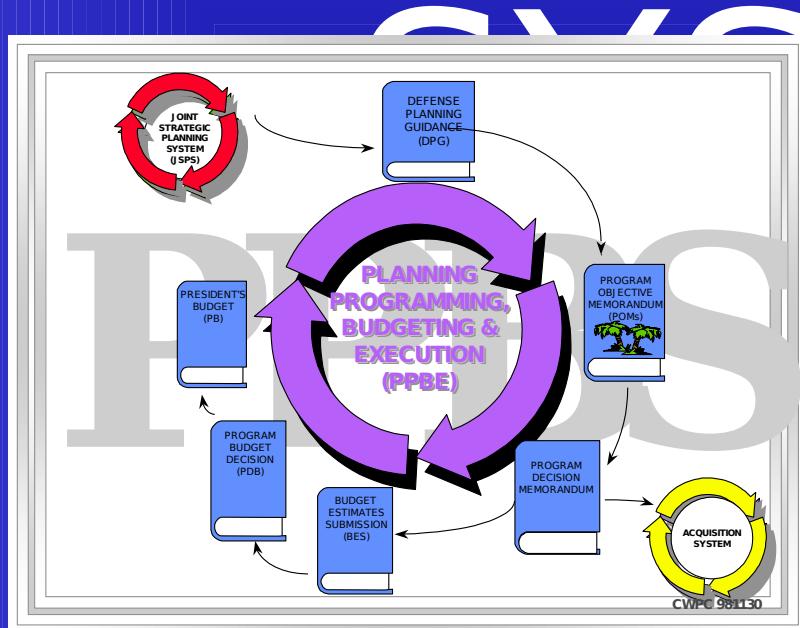
SEPTEMBER 2002

To achieve these goals, the United States will:

- Strengthen alliances to defeat global terrorism and prevent attacks against us and our friends**
- Work with others to defuse regional conflicts**
- Prevent our enemies from threatening us, our allies, and our friends with WMD**

Planning, Programming, Budgeting, and Execution process PPBE

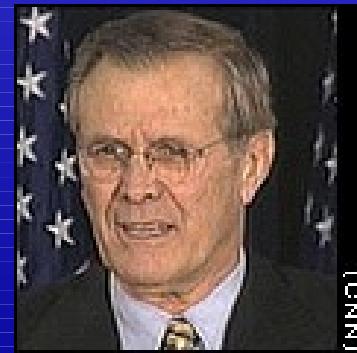
Joint Strategic Planning System JSPS



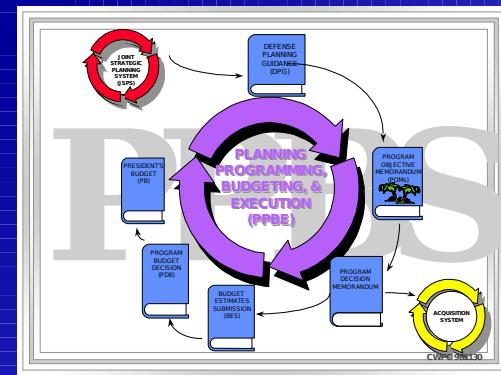
Together, these players, systems, and processes ...



**Chairman, Joint Chiefs of Staff
General Myers**



**Secretary of Defense
Mr. Donald Rumsfeld**



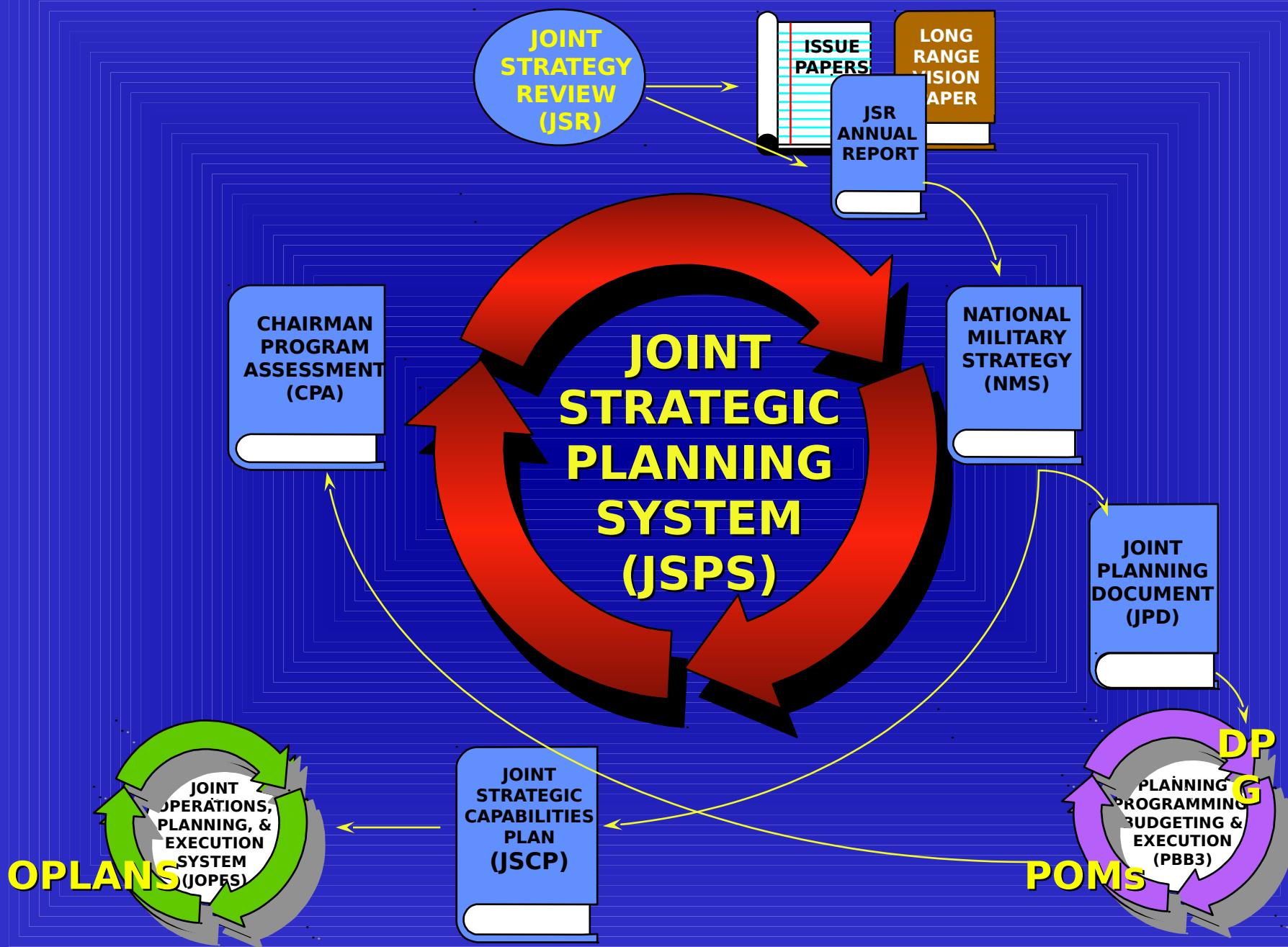
..provide the combatant commanders with the best mix of forces, equipment, and support attainable within resource constraints.

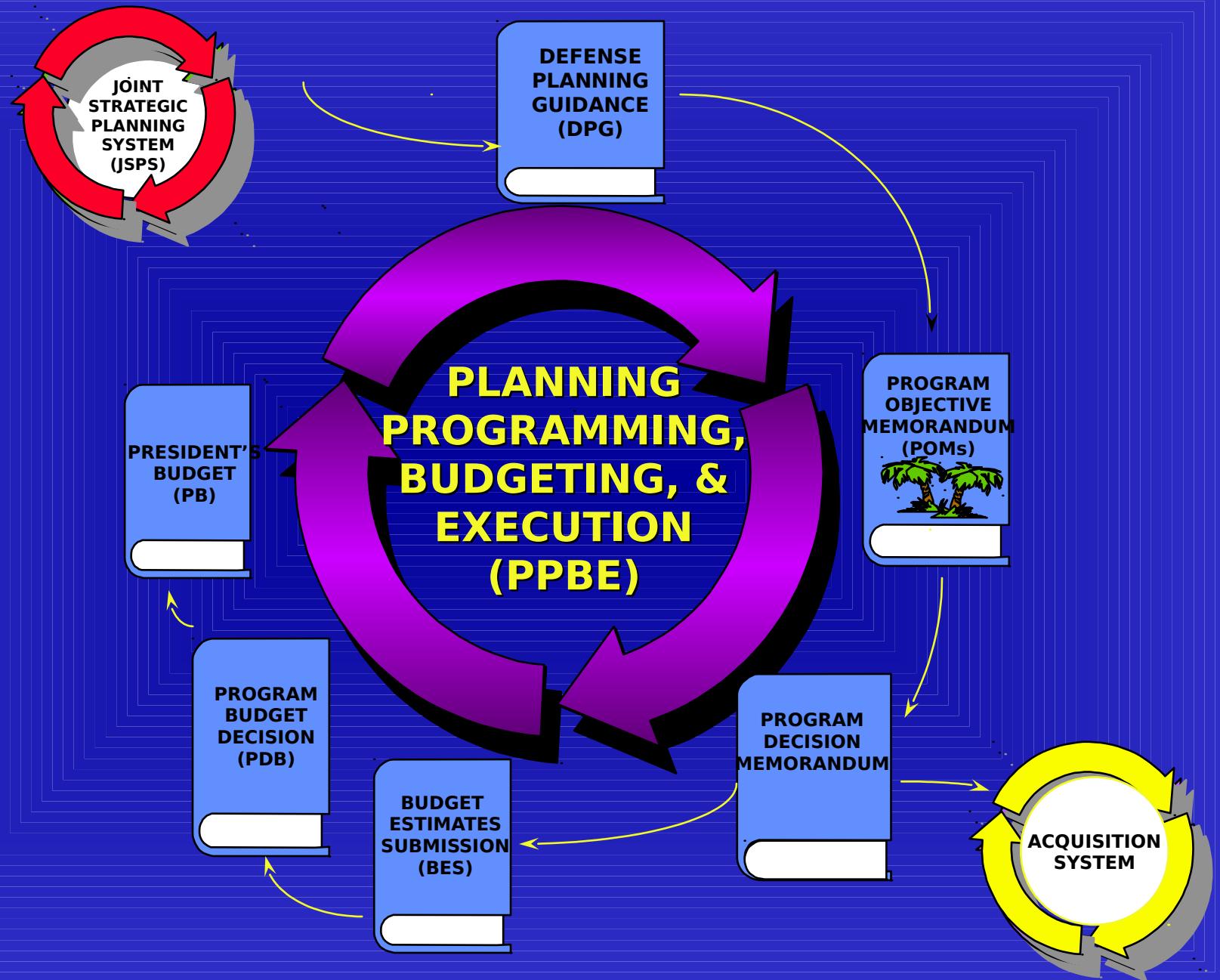
Capabilities BUDGET

Where to start...

Threats

Q R U P







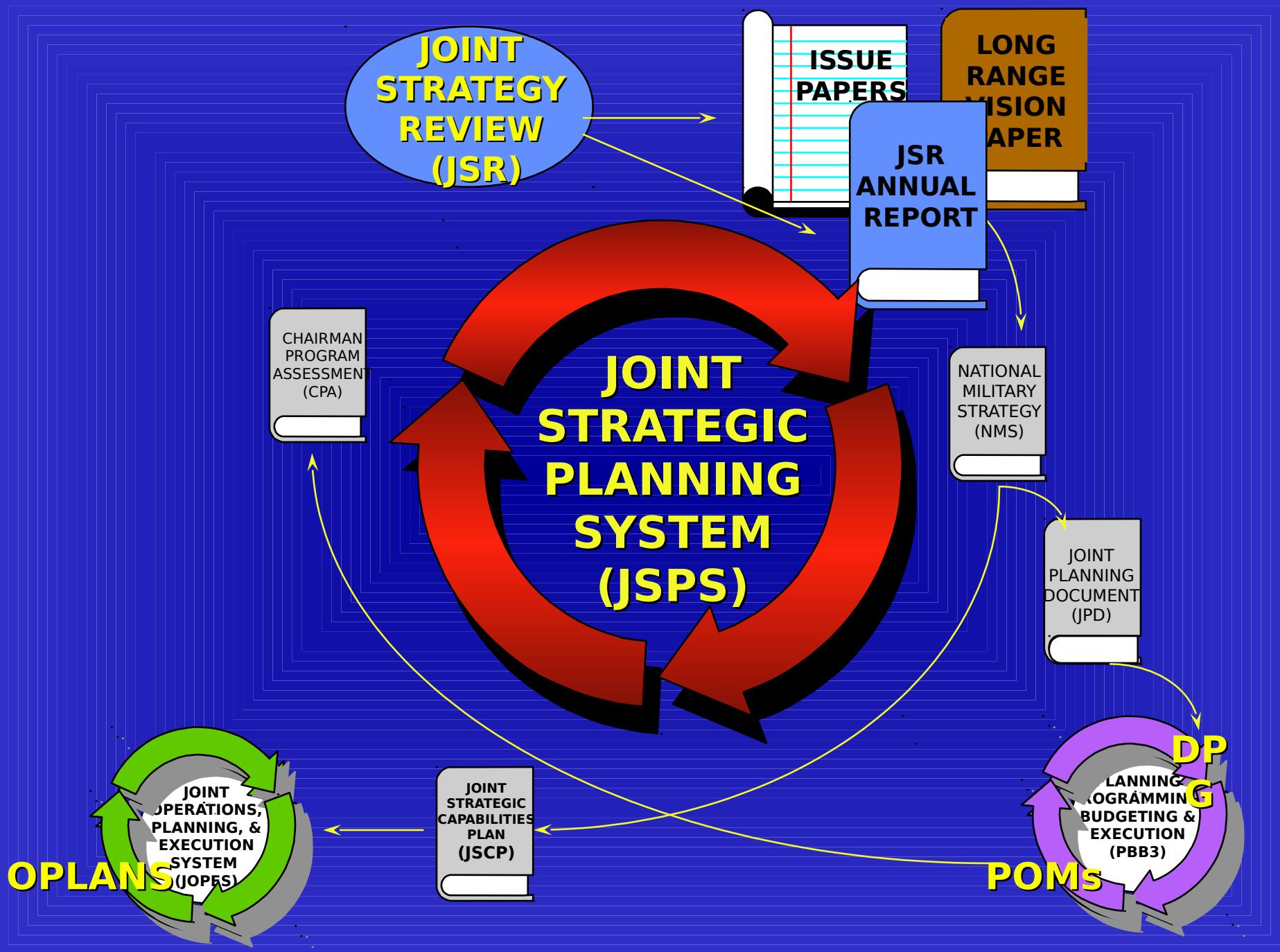
JOINT STRATEGIC PLANNING SYSTEM (JSPS)

DEFINITION:

- JSPS is the formal means for the CJCS to carry out his statutory responsibilities to give strategic plans and direction to the Armed Forces of the United States and to provide advice and assistance to the SecDef.

PURPOSE:

- Interacts with other DOD systems.
- Provides means for CJCS to:
 - review National security environment
 - evaluate the threat
 - assess current strategy
 - propose military strategy, programs, and forces to achieve national objectives
 - direct development of strategic plans
 - review existing or proposed programs and budgets
 - evaluate capabilities and assess the program and budget
 - propose



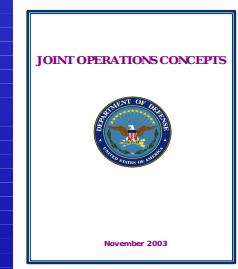
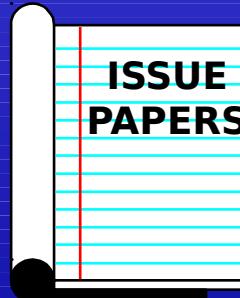


JOINT STRATEG Y REVIEW (JSR)

Purpose:

- Continuous process within the JSPS to assess near-term and long-term strategic environment to determine if revisions are needed in the NMS, the Joint Strategic Capabilities Plan (JSCP) or the Joint Planning Document (JPDO).**

The JSR may produce three products:



Definition: - The JSR is a continuous process within the JSPS to assess near-term and long-term strategic environment to determine if revisions are needed in the NMS, the Joint Strategic Capabilities Plan (JSCP) or the Joint Planning Document (JPД).

The JSR may produce three products:



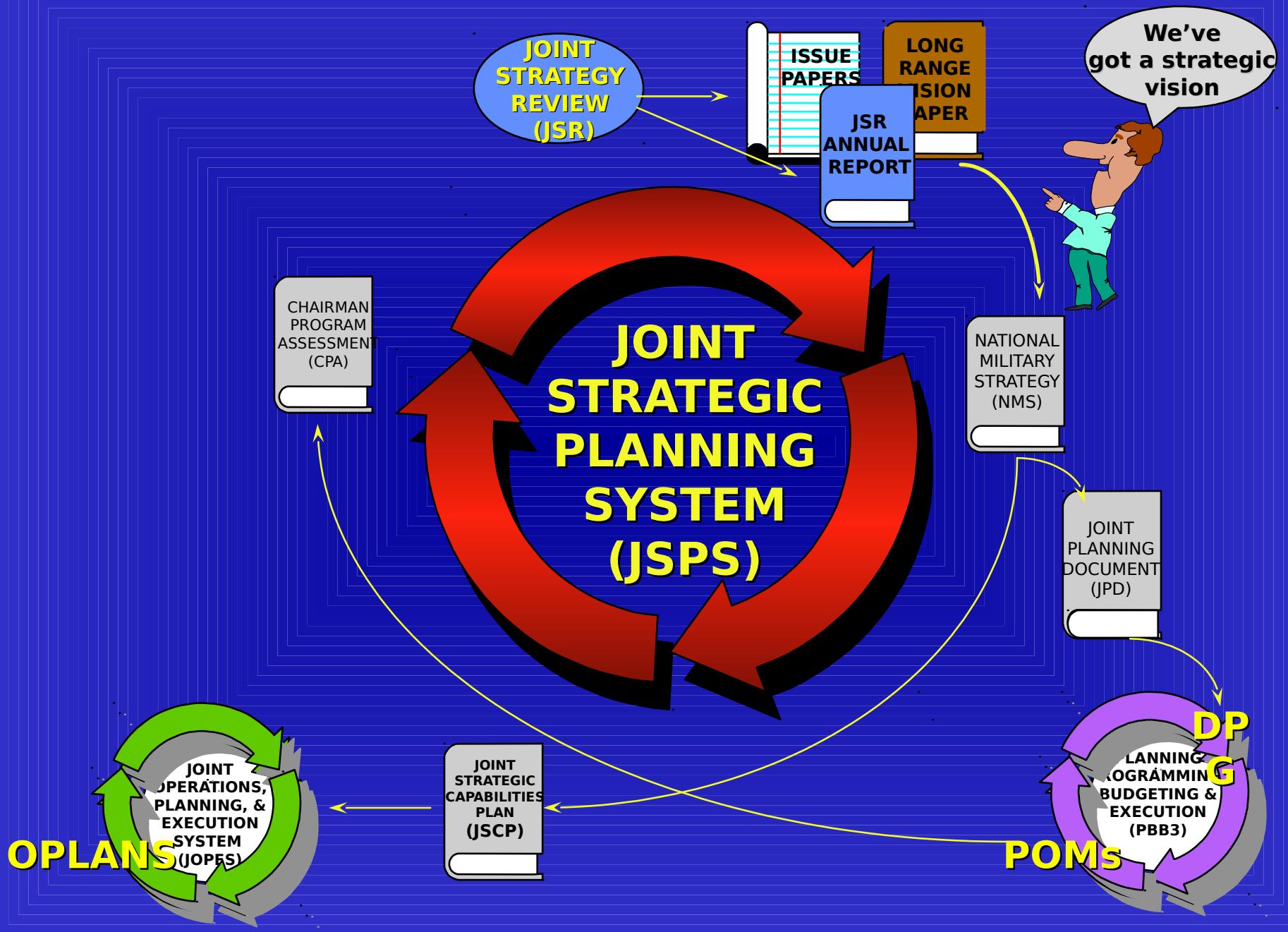
- **JSR ISSUE PAPERS:** Published when appropriate when changes in strategic environment warrant senior leadership review.

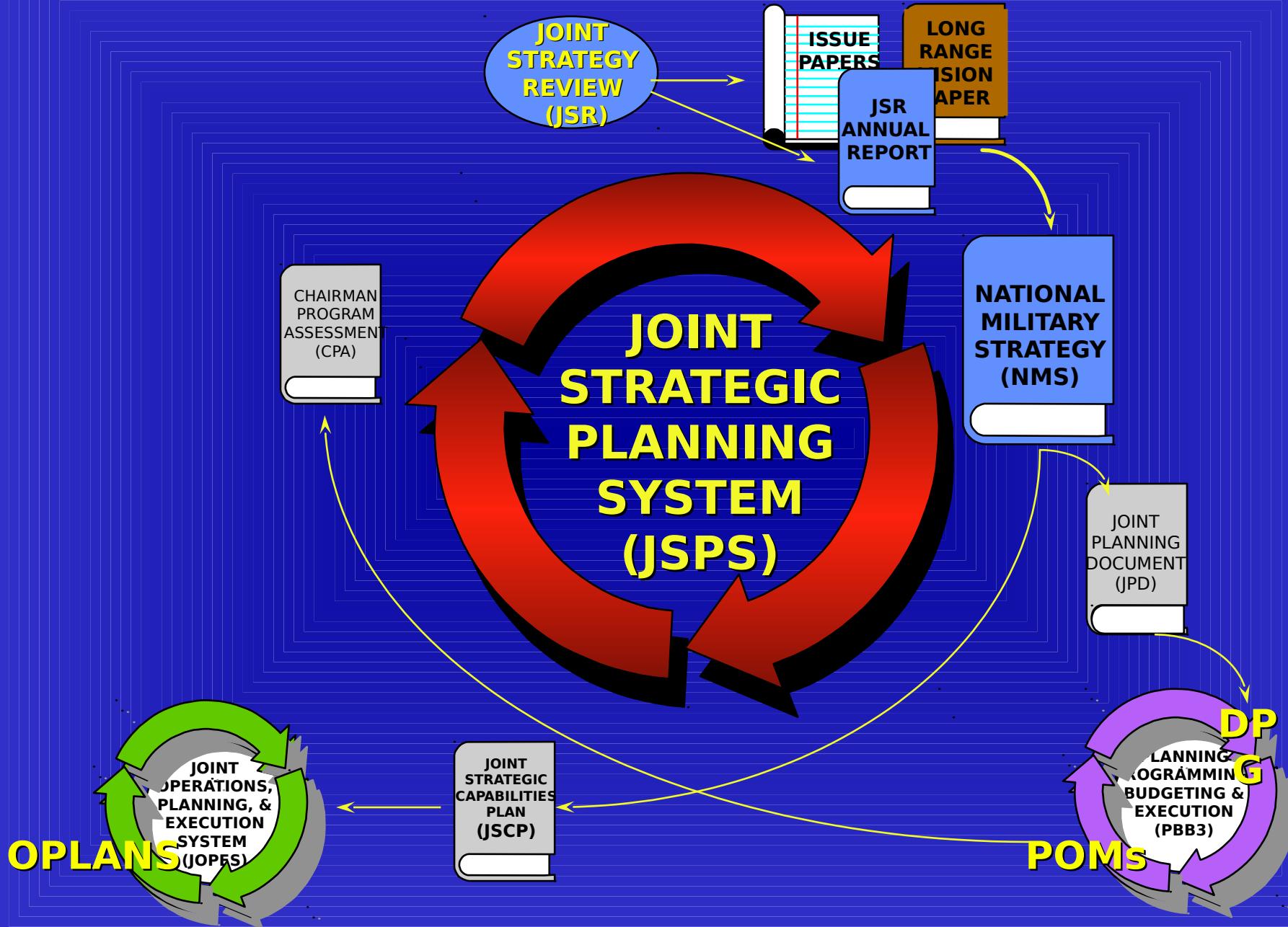


- **JSR ANNUAL REPORT:** Published each July; summarizes issues studied over past year and recommends and changes to the NMS



- **JOINT OPERATIONS CONCEPT (JOpsC):** Describes how the Joint Force intends to operate within the next 15 to 20 years. Provides operational context for the transformation of the Armed Forces of the United States.





NATIONAL MILITARY STRATEGY (NMS)

“Protect - Prevent - Prevail”

Definition:

- Derived from the security policy stated in the President's National Security Strategy, and influenced by the JSR, the CJCS develops national military strategy to define military objectives

Scope:

- Meets CJCS's statutory responsibility to assist the SecDef in providing for the direction of the Armed Forces and to prepare strategic plans.
- Consists of:
 - (New) Includes the (now defunct) JV 2020 document information
 - Description of strategic landscape (from JSR)
 - Discussion of national military objectives.
 - Ways to achieve national military objectives.
 - Military capabilities (force posture and size) needed to achieve the str

National Military Objectives

National Military Strategy

of the United States of America



2004

Defend...us

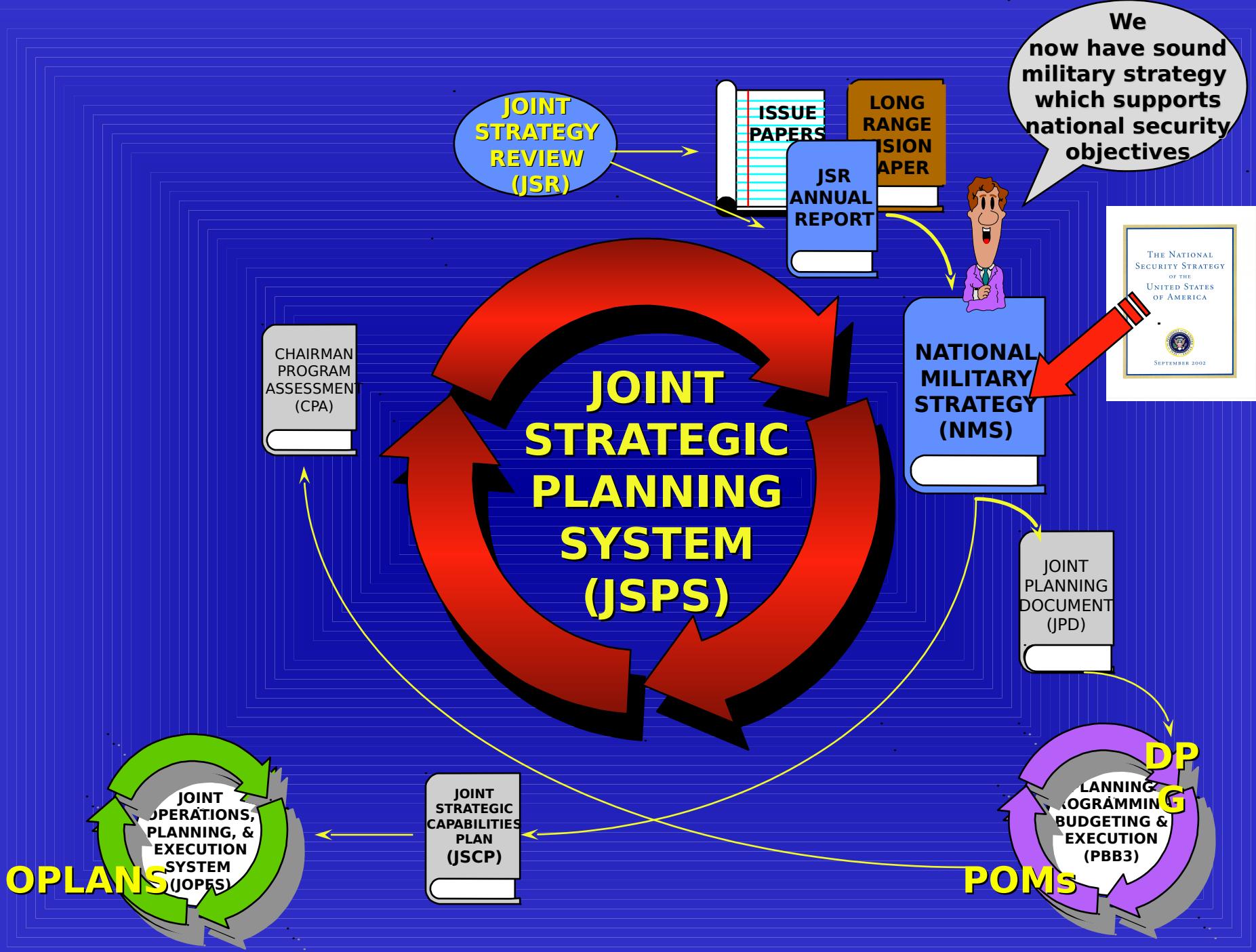
homeland

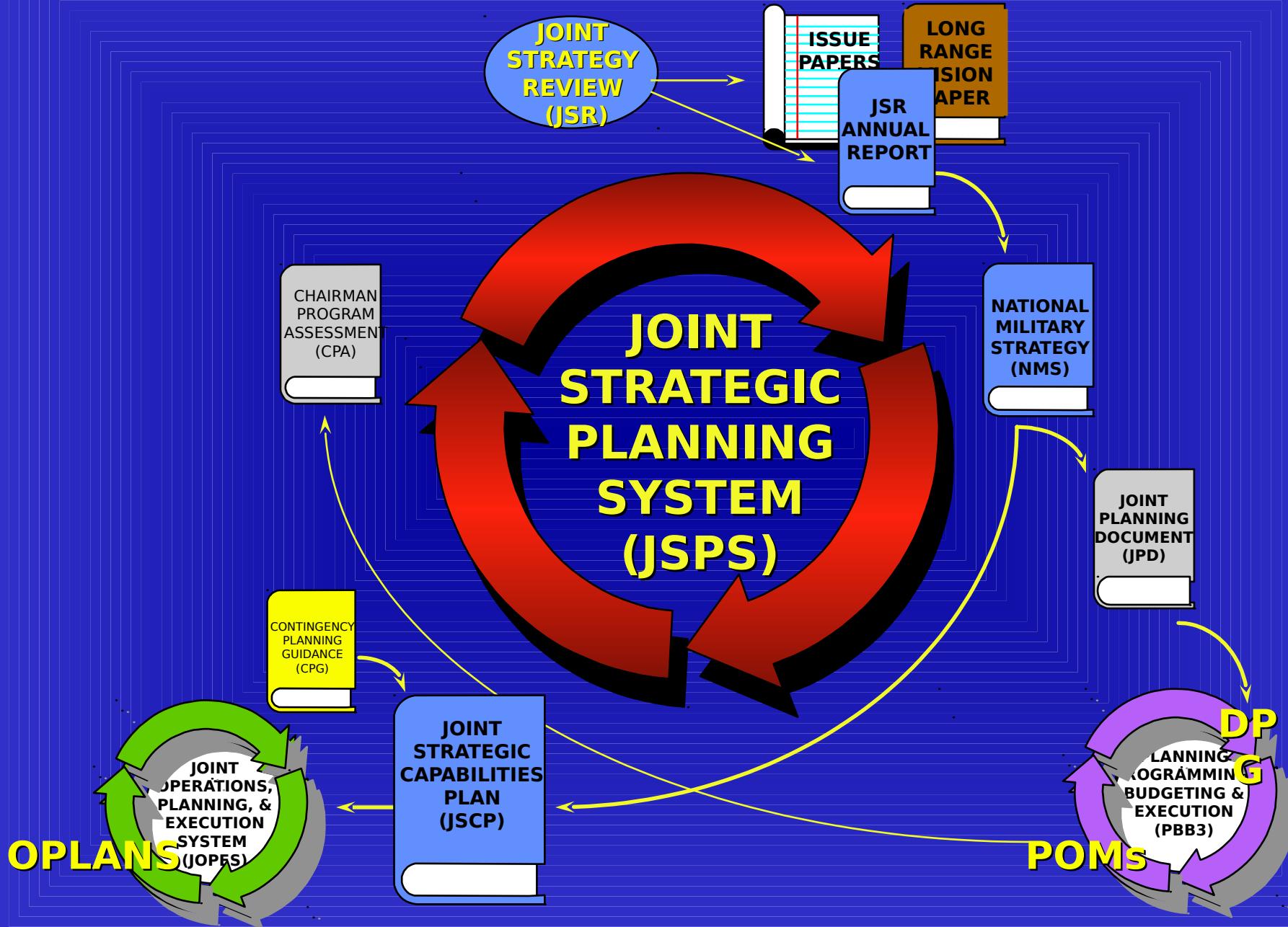
**Promote... security and deter
aggression**

**Win... the Nation's
wars**

Ensure... military superiority

**Derived from national security objectives for:
“Peaceful Relations with other States”**





TOP SECRET

CJCSM 3110.01C
15 October 2002

JOINT STRATEGIC
CAPABILITIES PLAN FY 2002 (U)



JOINT STAFF
WASHINGTON, D.C. 20318

Classified By: 12D George W. Casey, Jr., USA; DA-S
Date Declassified: 15 Jan
Declassified on: 15 Jan
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2001 STAFF DOCUMENT CONTROL NUMBER: JN-0003-2001

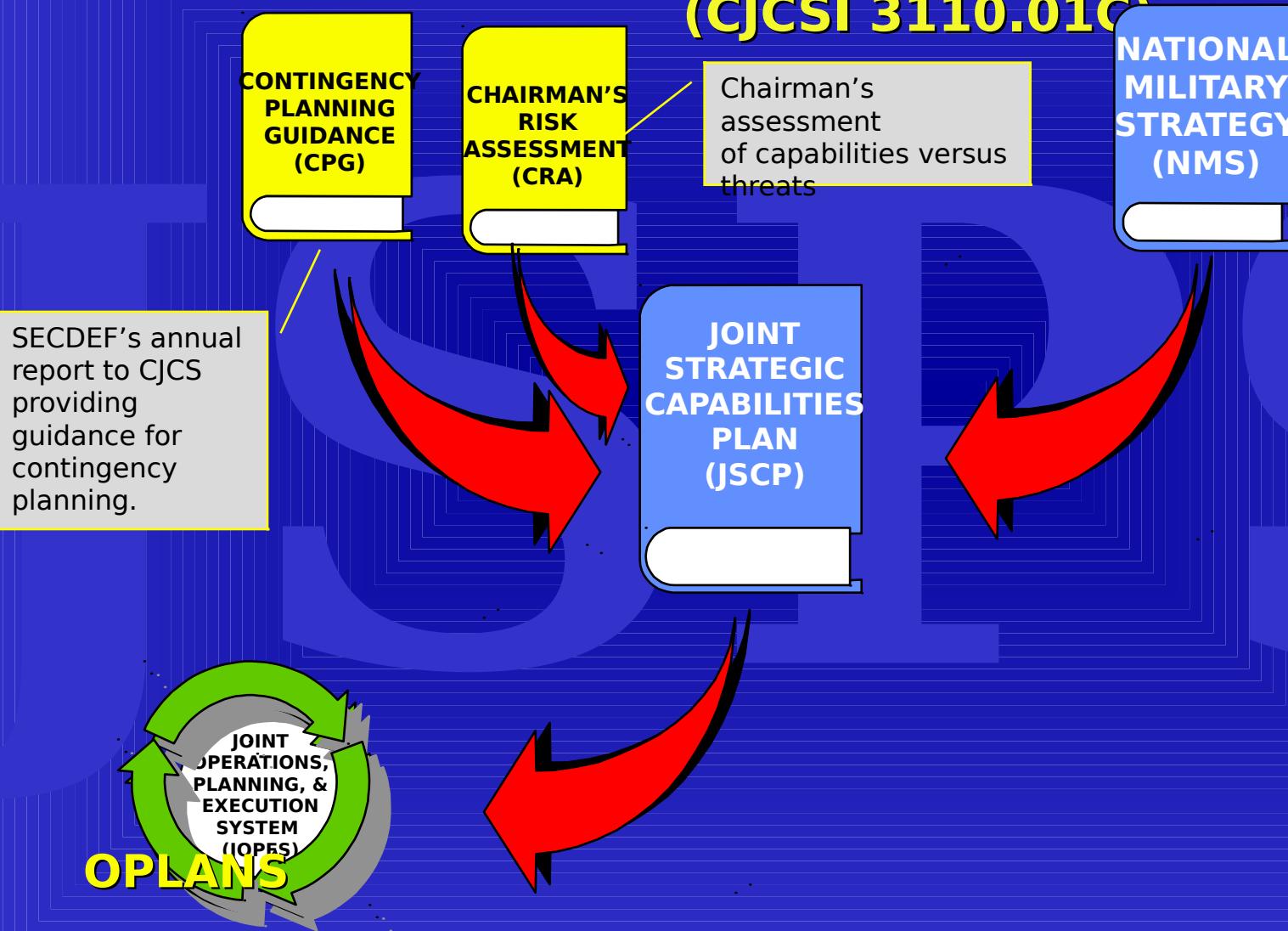
TOP SECRET

JOINT STRATEGIC CAPABILITIES PLAN (JSCP) (CJCSI 3110.01C)

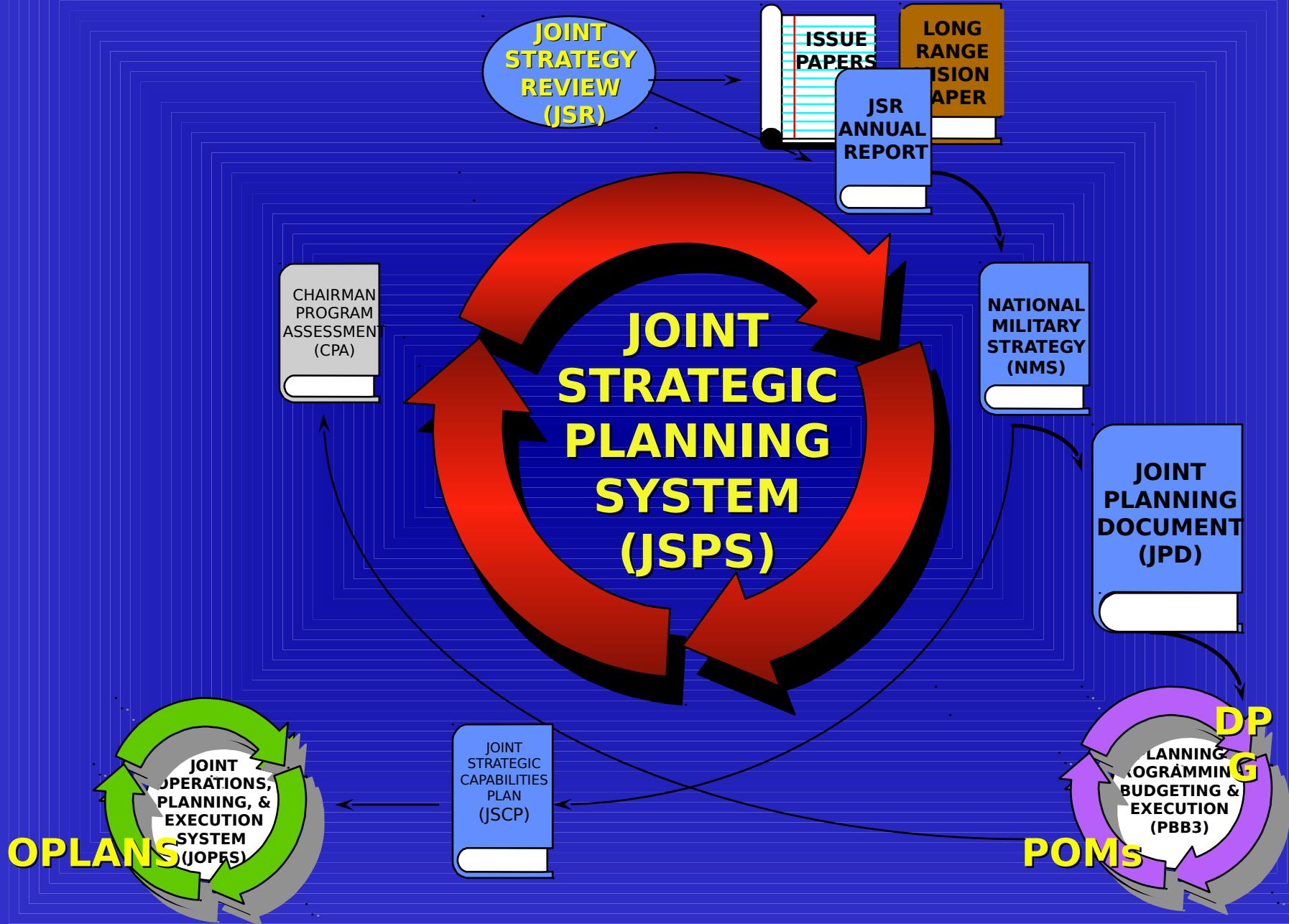
Definition:

- Provides guidance to the Combatant Commanders and Service Chiefs, assigns planning tasks, and apportions forces to accomplish missions based on current military capabilities.
- Principal vehicle by which the Combatant Commanders and Service Chiefs are tasked to develop OPLANs, CONPLANs, and Functional Plans for regional contingencies.
- Supports and implements the NMS.

JOINT STRATEGIC CAPABILITIES PLAN (JSCP) (CJCSI 3110.01C)



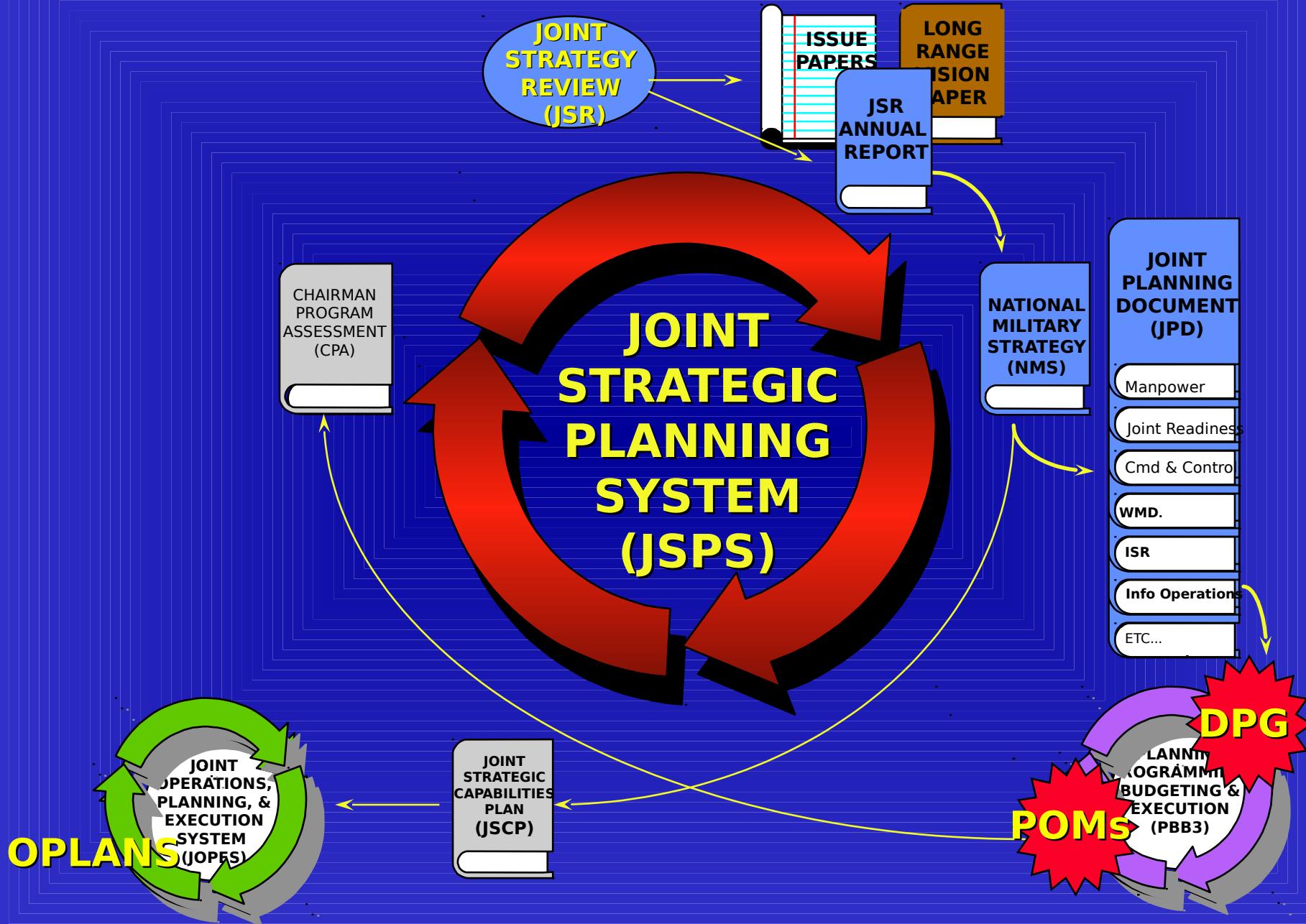


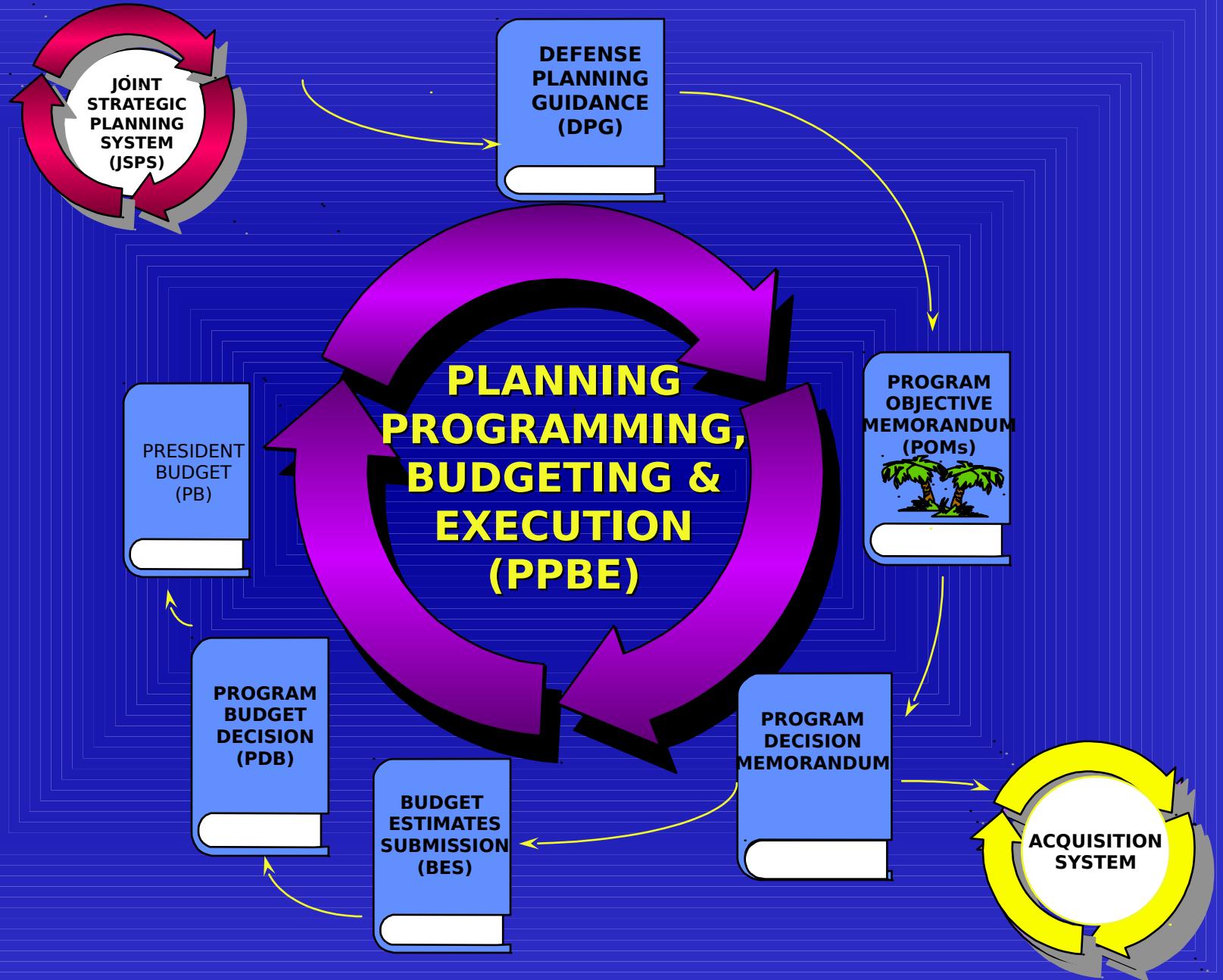


JOINT PLANNING DOCUMENT (JPD)

DEFINITION:

- Multi-part JPD reflects the Chairman's planning guidance and recommendations to the SecDef, based on the JSR, NMS, and
 - It's intended to furnish insight on CJCS priorities in development of FYDP.
 - Represents the earliest formal, authoritative planning and programmatic advice from the Chairman to the SecDef.
 - JPDs are published as stand-alone documents addressing specific functional areas.
 - Information used to develop the SecDef's Defense Plan Guidance (DPG) in the PPBE.







PLANNING, PROGRAMMING, BUDGETING & EXECUTION (PPBE) PROCESS

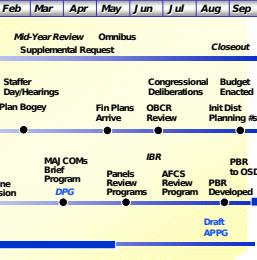
DEFINITION:

- DoD resource allocation process, controlled by the SecDef, used to establish, maintain, and revise the FYDP and DoD portion of the President's Budget.

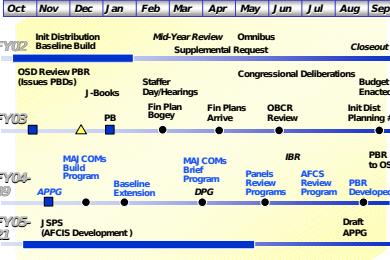
PURPOSE:

Objective of the PPBE is to provide the Combatant Commanders with the best mix of forces, equipment, and support attainable given resource constraints

Planning



Programming



The PPBE Carrousel

FY-05

FY-04

FY-03

FY-02

FY04 DPG
FY02 DPG

FY02BES

FY03 P

FY02 PB

FY03POM

FY04 POM

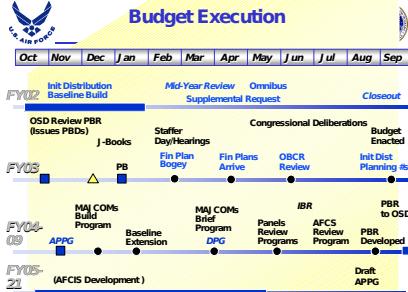
FY02 PDM

FY04 DPG

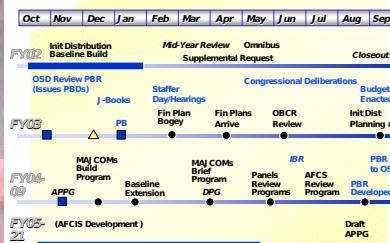
FY02 PBD

FY03 BES

FY04PB



Budgeting

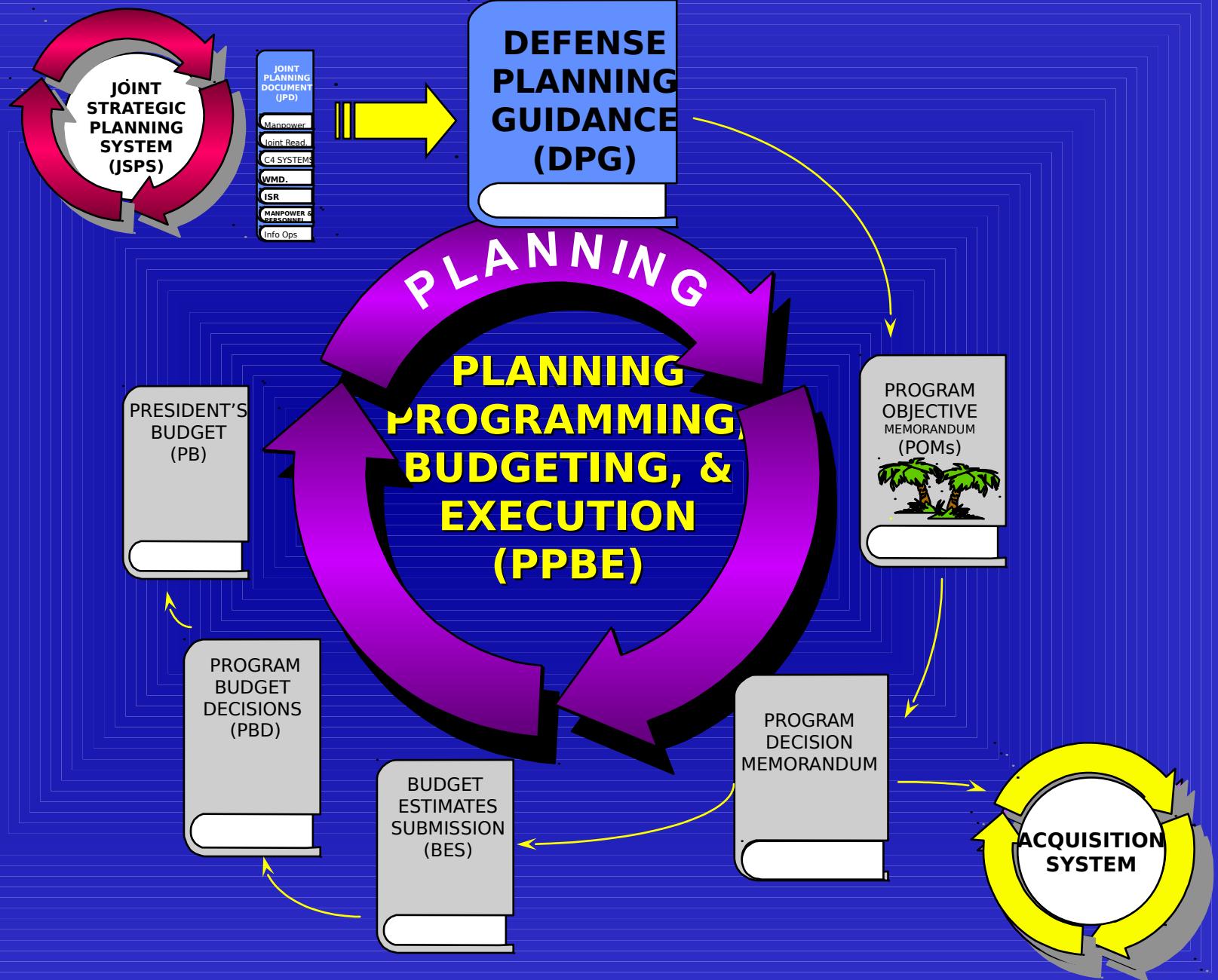




PLANNING

Objective:

- Identify the threats to national security
- Develop strategy to meet national objectives
- Determine total forces to carry out strategy and counter threat



**DEFENSE
PLANNING
GUIDANCE
(DPG)**

DEFENSE PLANNING GUIDANCE (DPG)

DEFINITION:

- The DPG issues guidance from the SecDef to the MilDeps for development of the MilDep's Program Objective Memorandum
 - Contains major planning issues, decisions, strategy, and policy.
 - Fiscally constrained
 - Major link between the JSPS and PPBE
 - Ends the “planning cycle.”

Note: The DPG may be replaced with the Strategic Planning Guidance and the Joint Programming Guidance (JPG)

FY-04 DPG Example

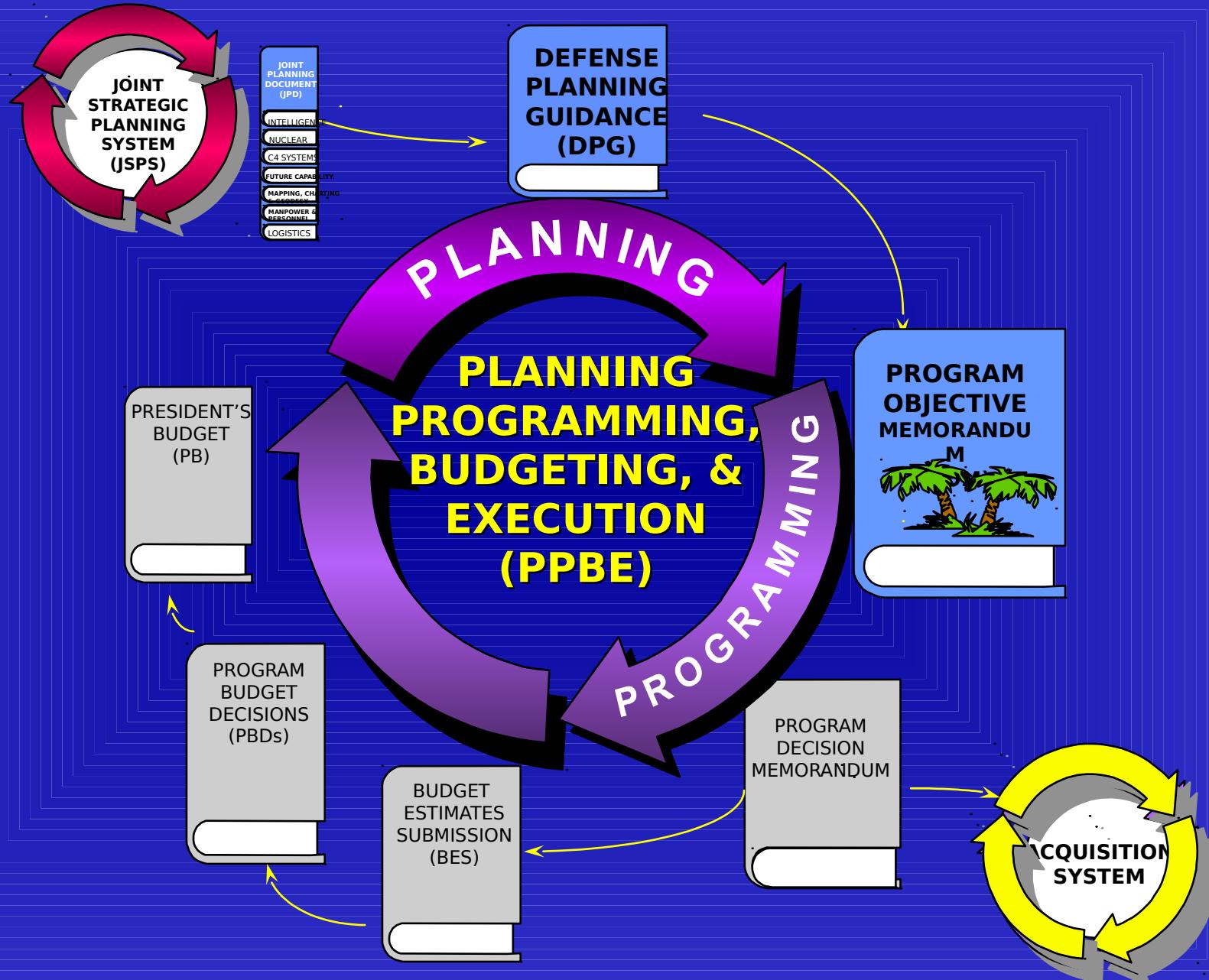
Most of the DPG is classified

Guidance can be fairly specific

- (U) Giving priority to investments that have the greatest and most immediate return on improving quality of life for service members and their families.
(U) To accomplish these objectives it will be necessary to take more risk on restoration and modernization of facilities that are less critical to early generation of combat power.
- (U) BRAC. The Military Departments will plan and program analytic resources to support the 2005 BRAC round and establish a methodology to reinvest savings into funding implementation.
- (U) Facilities Sustainment, Restoration and Modernization. By September 1, 2002, the USD(AT&L) will lead a DoD-wide process to define a common standard for facility recapitalization that includes the impact of both recapitalization and sustainment. By FY2007, Components will achieve a 67-year recapitalization rate using that metric. Components will target this recapitalization investment with the goal of restoring the readiness of existing facilities to at least C-2 status, on average, by the end of FY2010. Restoration efforts, when combined with normal service-life-based modernization investments, may temporarily drive the overall recapitalization rate below 67 years.
- (U) Concentrate restoration and modernization funding in advance of BRAC on C-3 and C-4 facilities most critical to early generation of combat power in support of the warfighter. For these and all other facilities, fund sustainment throughout the FYDP to the benchmarks defined by the standard DoD Facilities Sustainment Model.
- (U) Chemical Demilitarization. The USD(AT&L), in collaboration with the Army, will prepare alternatives to be considered in the Program/Budget Review for acceleration of the Chemical Demilitarization process for obsolete stocks.



OBJECTIVE:
Structure the resources (combat and support forces) to achieve the National Objectives stated in the DPG

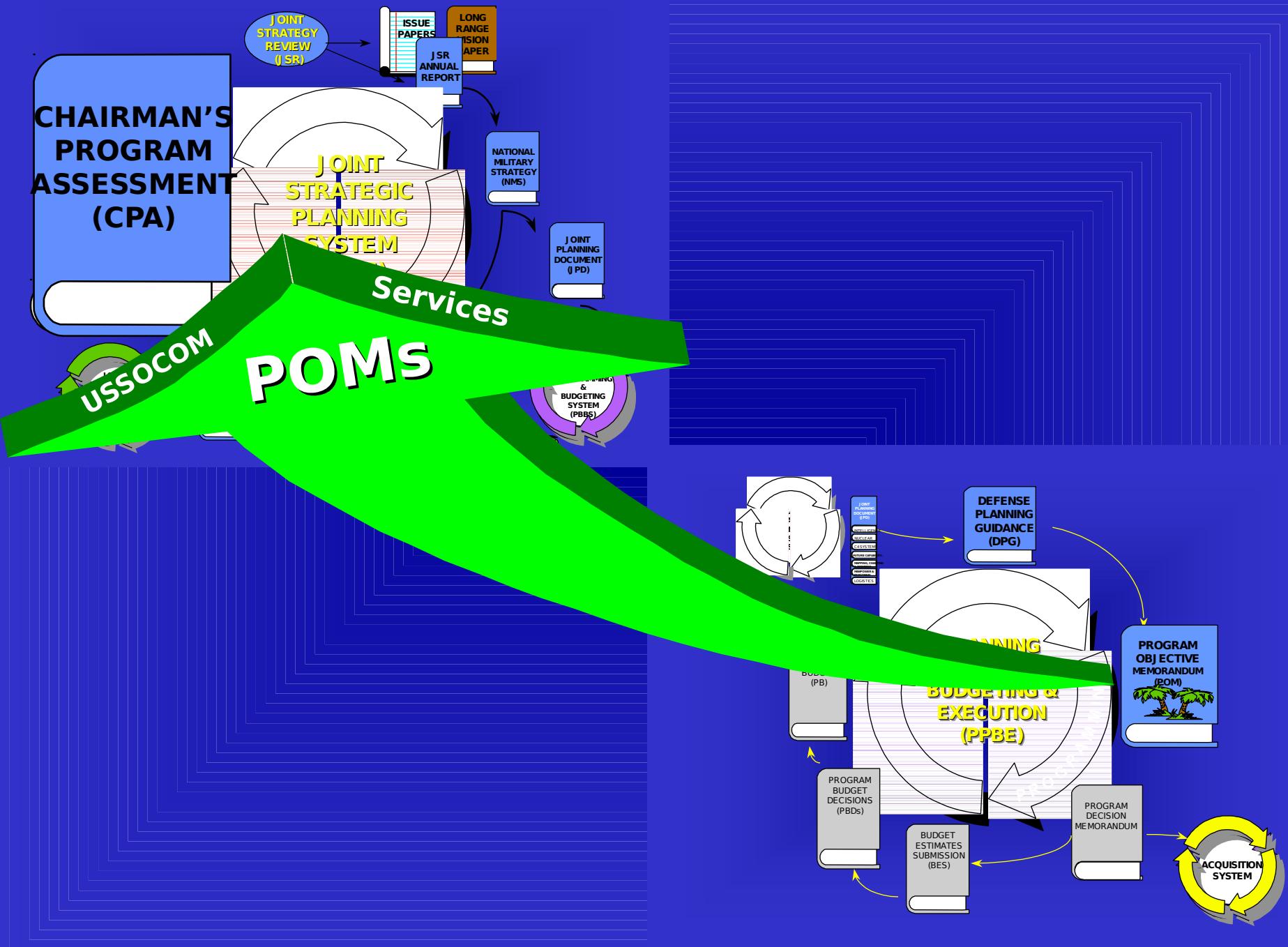


**PROGRAM
OBJECTIVE
MEMORANDUM
(POM)**

PROGRAM OBJECTIVE MEMORANDUM (POM)

DEFINITION:

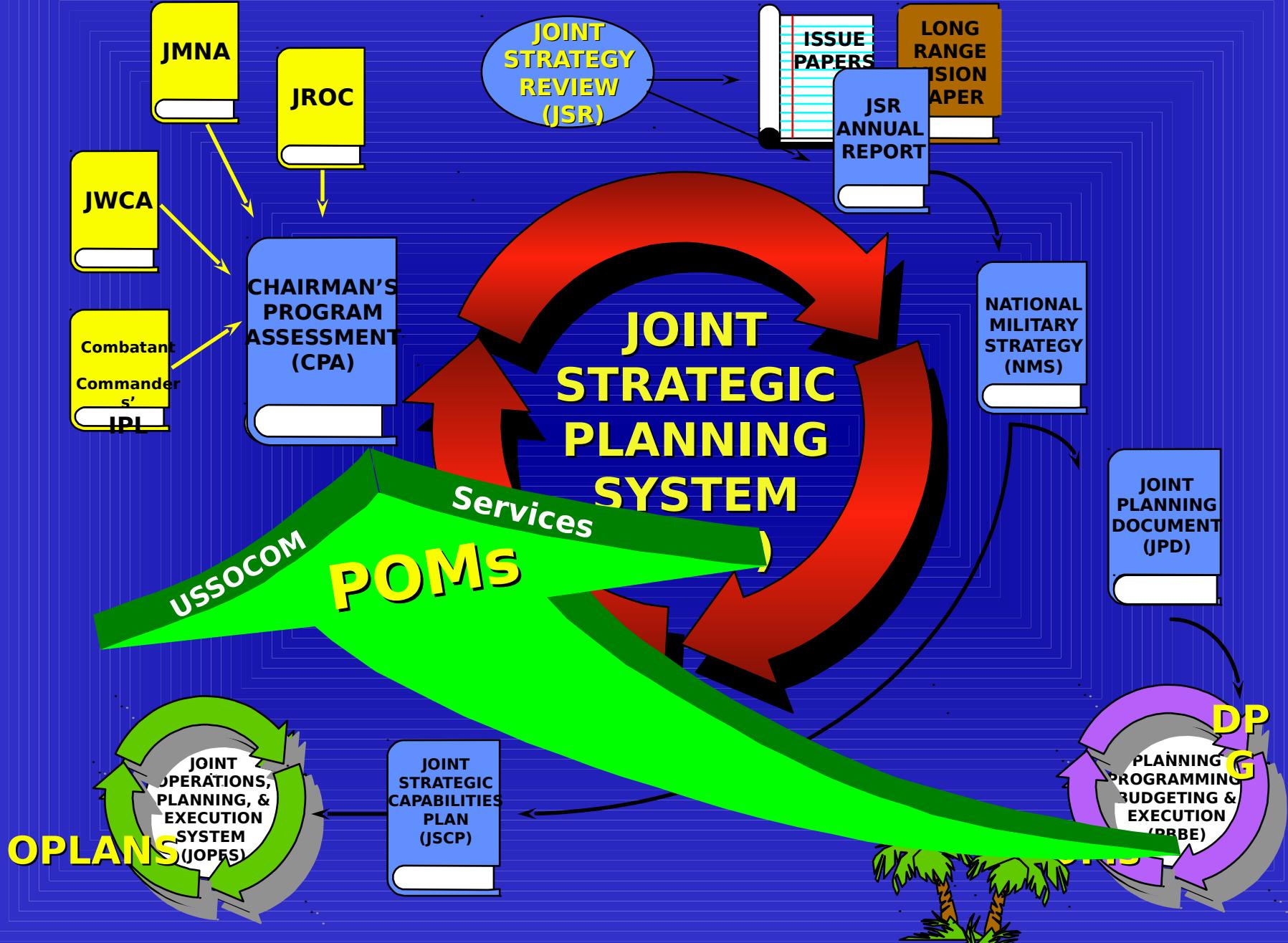
- The POM expresses total Services' program requirements covered in the DPG
 - Based on strategic concepts and guidance in the DPG
 - They identify major issues that must be resolved
 - Include annexes to show how POM reflects the Combatant Commanders' needs

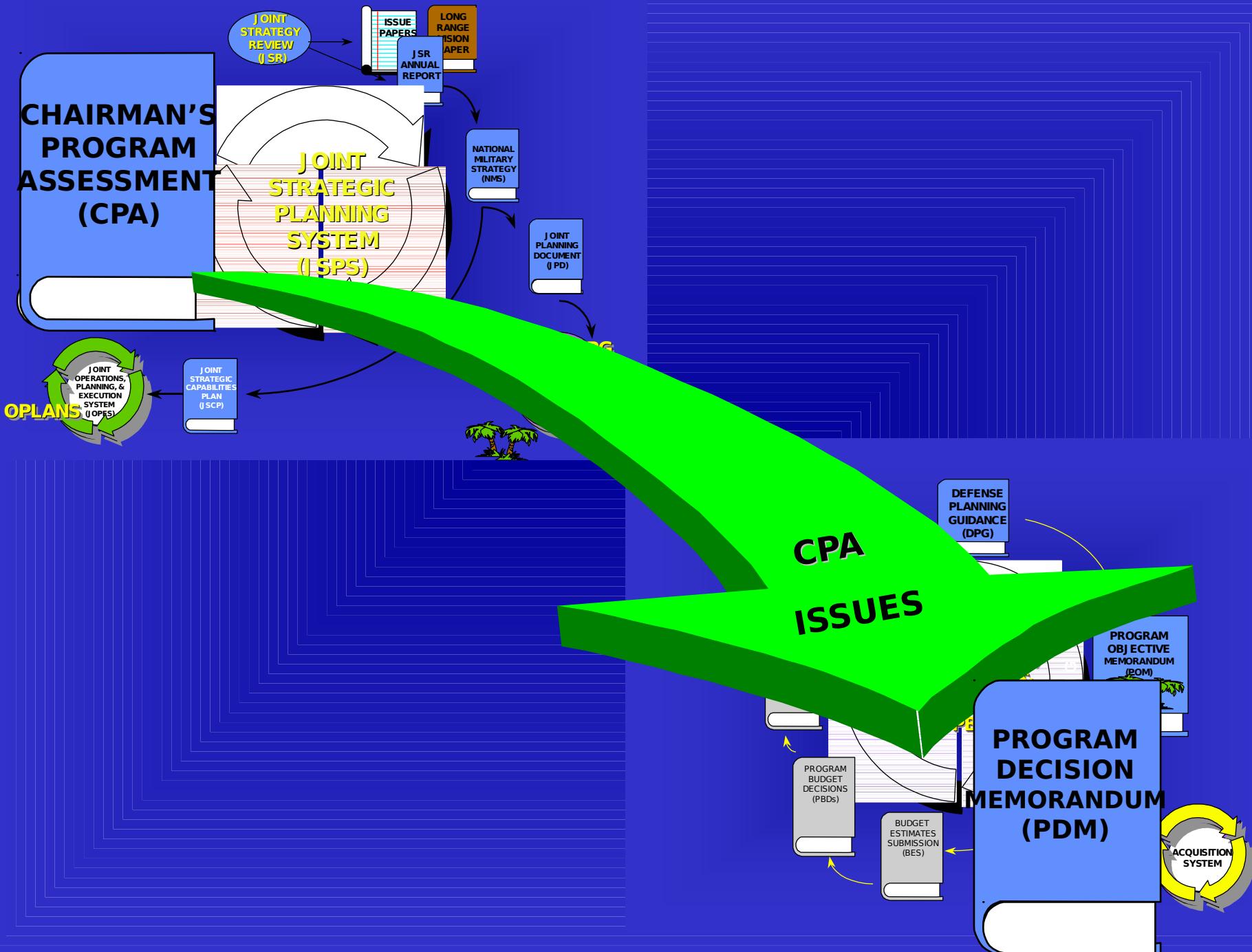


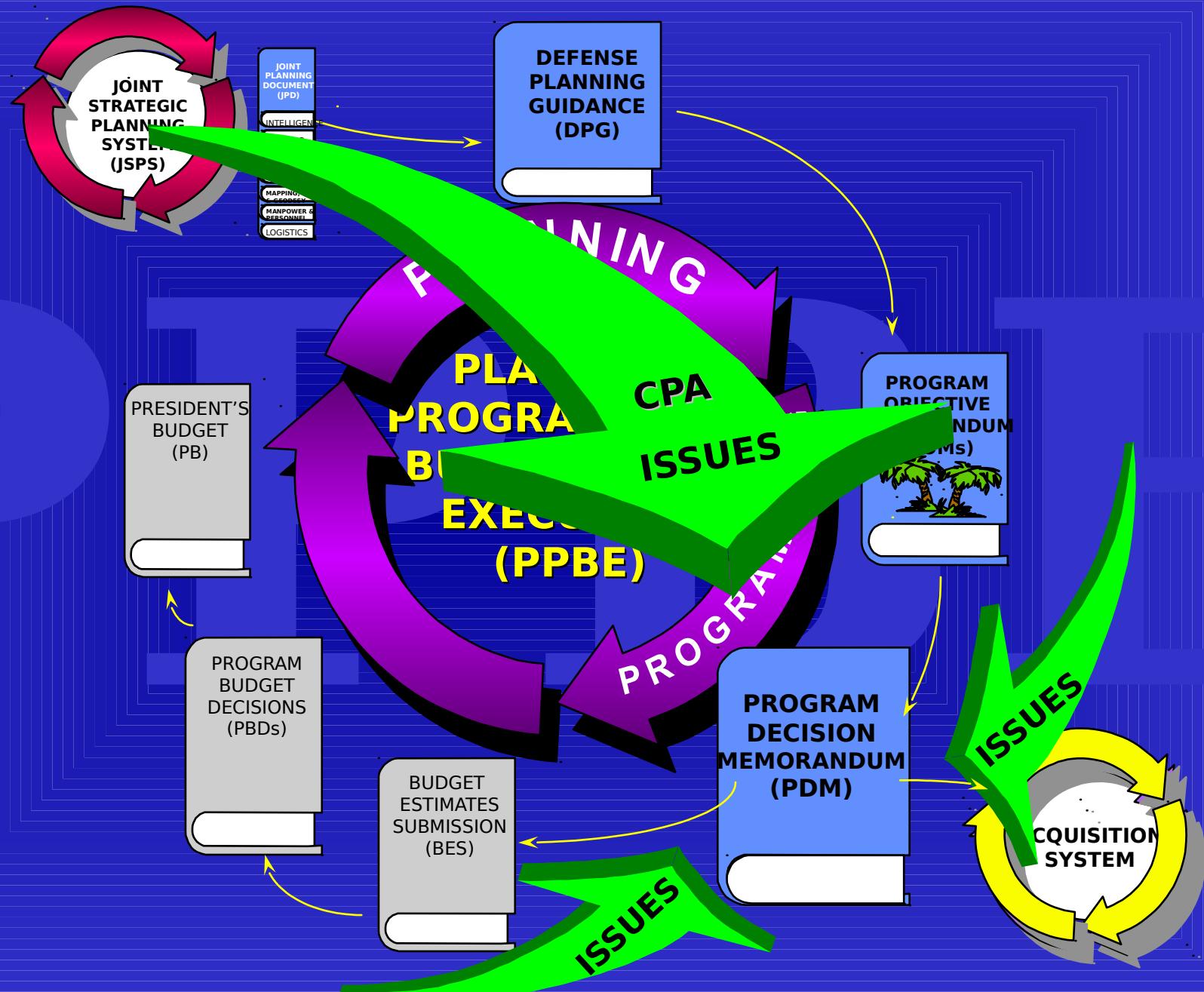
HAIRMAN'S PROGRAM ASSESSMENT (CPA)

DEFINITION:

- The CPA is an assessment of how well the POMs submitted by MilDeps, USSOCOM, and Defense agencies conform to national military priorities and strategic guidance.
- Summarizes the views of the CJCS on the balance of capabilities of the POM force and the support levels required to attain US national security objectives.
- Services, unified commands, Defense agencies, and Joint Staff involved throughout the process.







**PROGRAM
DECISION
MEMORANDUM
(PDM)**

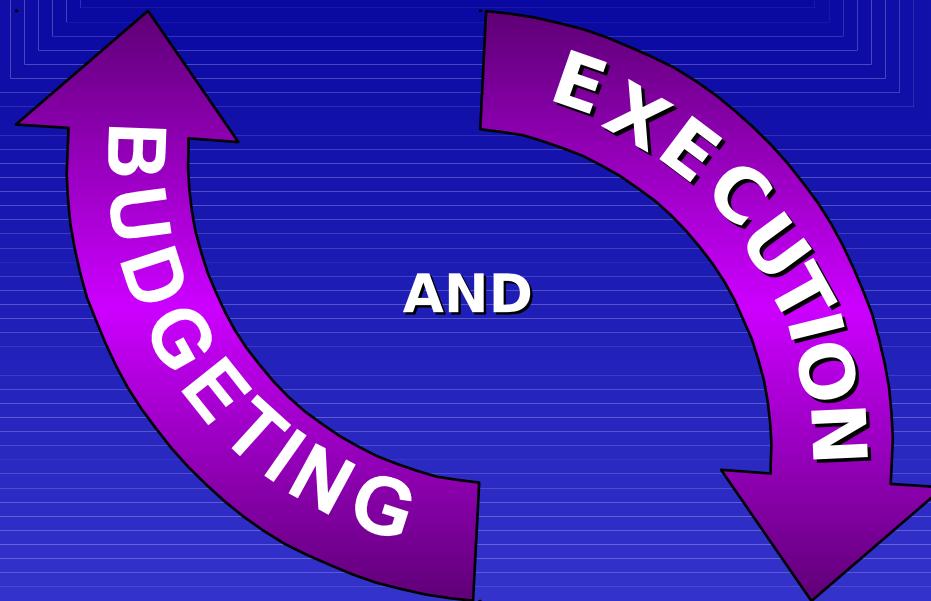
PROGRAM DECISION MEMORANDUM (PDM)

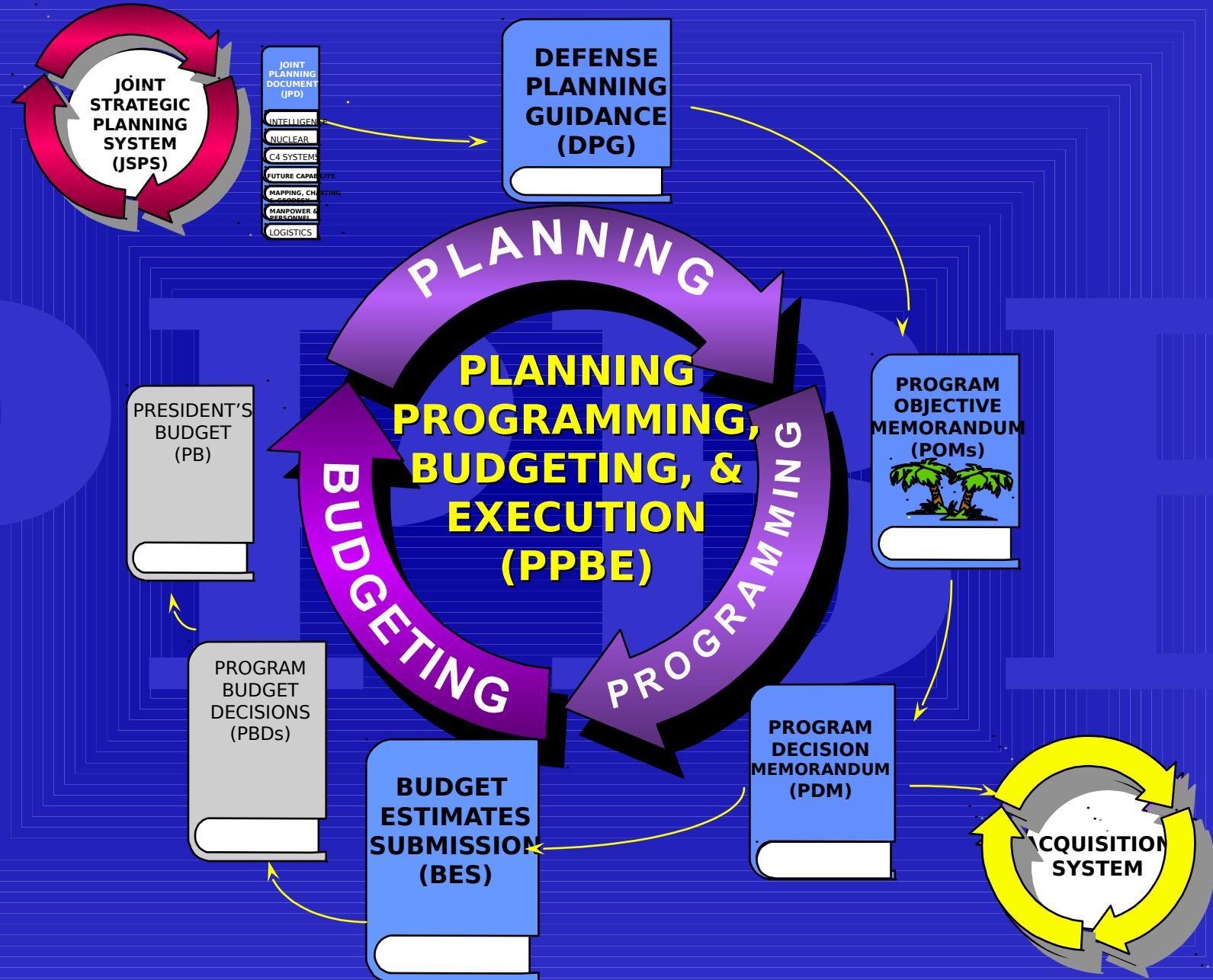
DEFINITION:

- The PDM is **the culmination of issues resolution, and formally documents program decisions and force structure.**
 - Contains and addresses the concerns of the Chairman in the CPA
 - Addresses & resolves the concerns (issues) of the OSD, Joint Staff, Combatant Commanders, and Services.
 - SecDef's final decision on POM proposals.
 - Ends the “programming cycle.”

Objective:

- Update the approved programs from the programming phase
- Check approved programming phase to see if they are executable.
- Establish final program costs.
- Incorporate the PDM and the FYDP into the President's Budget
- Upon Congressional approval, execute the budget



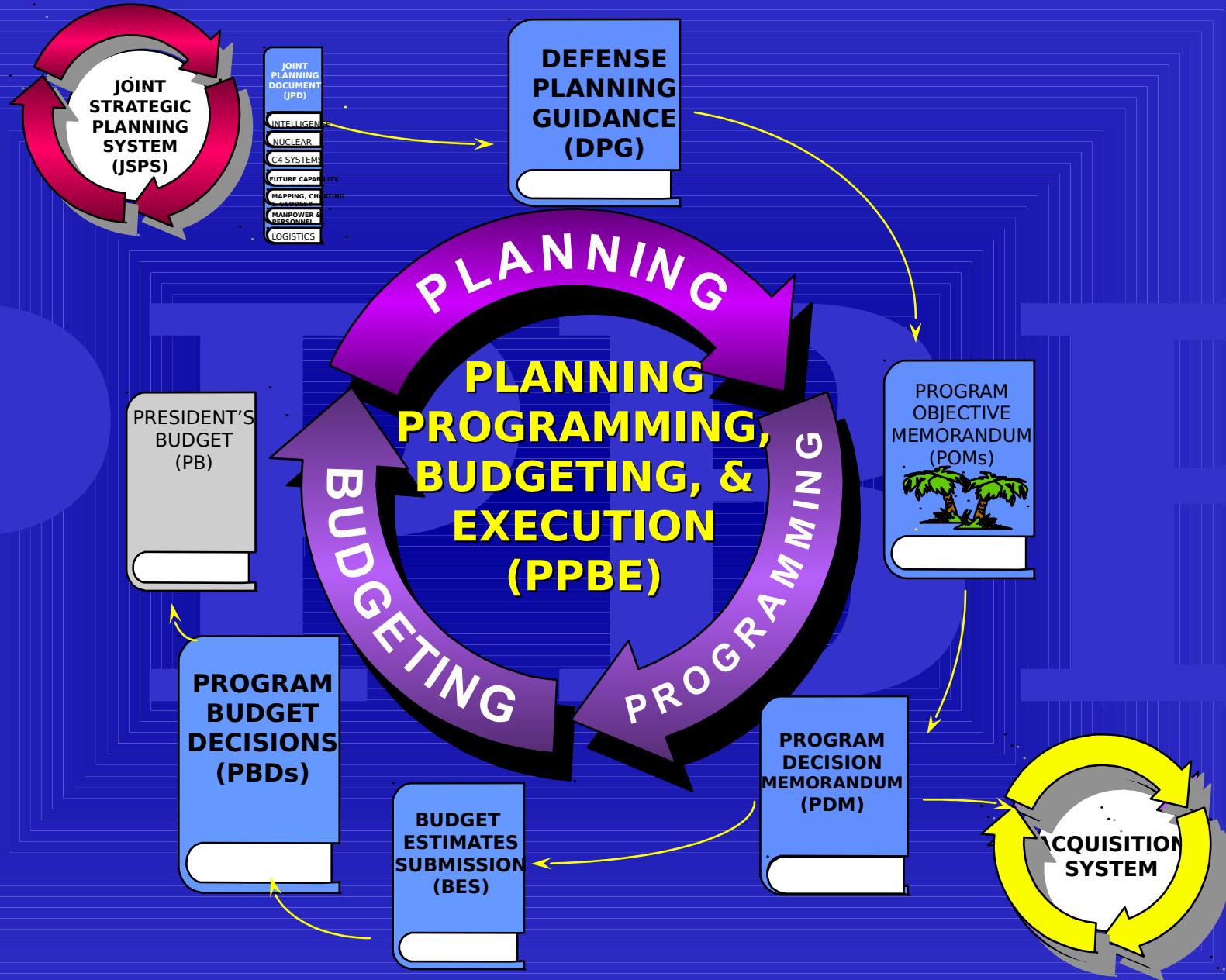


**BUDGET
ESTIMATE
SUBMISSION
(BES)**

BUDGET ESTIMATE SUBMISSION (BES)

DEFINITION:

- Derived from the POM and PDM, represents the MiLDeps' *estimates* of the PDM approved programs.
 - A “re-costing” of the POM, as modified by the PDM
 - Concentrates on the first two years of the POM

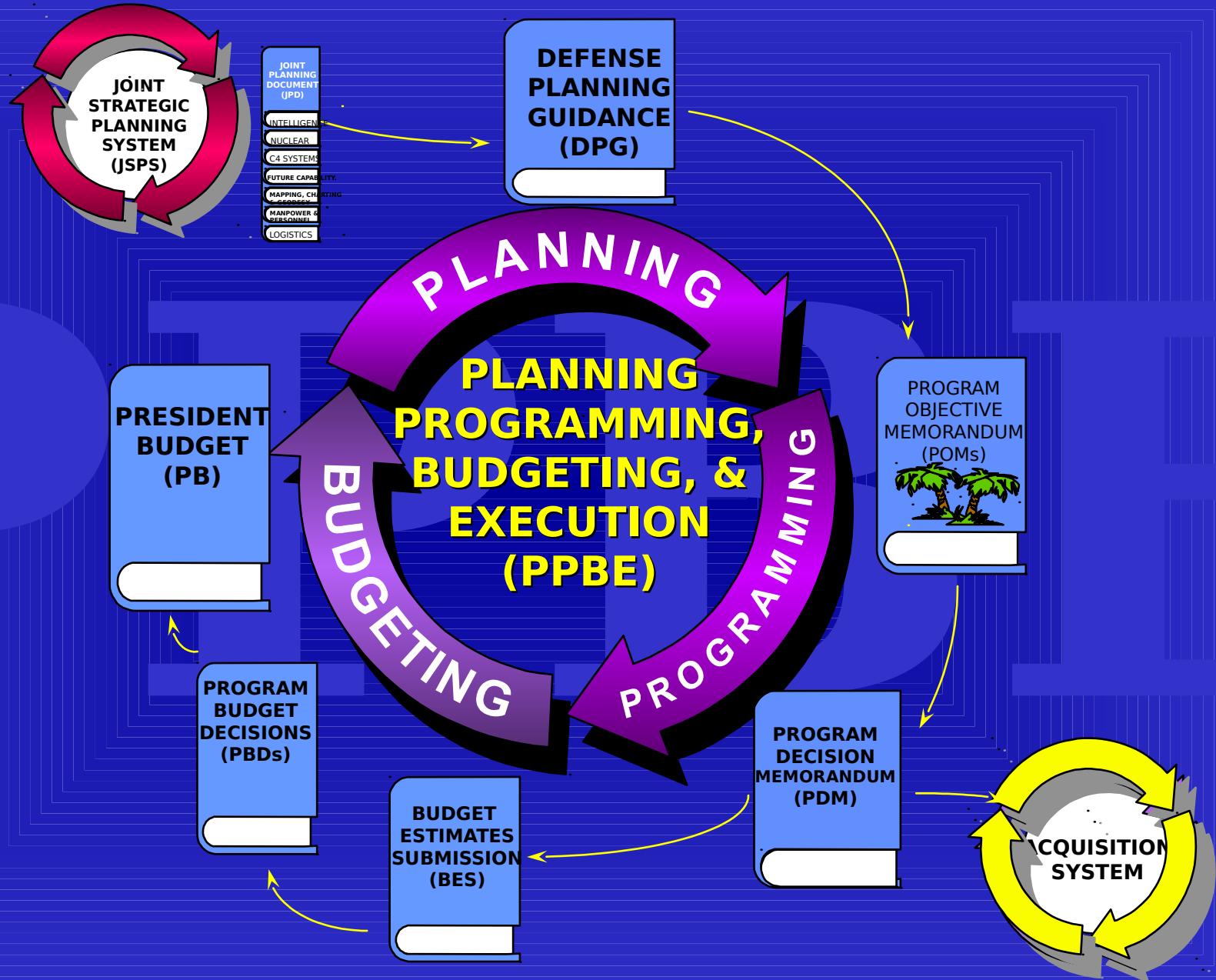


**PROGRAM
BUDGET
DECISION
(PBD)**

PROGRAM BUDGET DECISIONS (PBD)

DEFINITION:

- The PBDs represent the SecDef's decision on, and *approval of*, Services' budget that will be incorporated in the President's B
- Derived from the BES and major budget issues

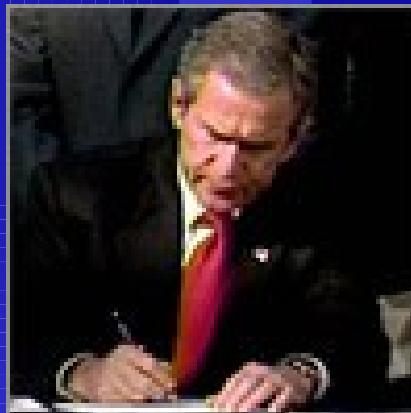


PRESIDENT'S
BUDGET
(PB)

PRESIDENT'S BUDGET (PB)

DEFINITION:

- The President's Budget **includes the SecDef's Defense Budget** is submitted to Congress in January.
- Marks the end of the Budgeting Phase of PPBE



Signing of the Defense Budget

Congressional Resolution, Authorization & Appropriation





JOINT STRATEGIC PLANNING SYSTEM (JSPS)

DEFINITION:

- JSPS is the formal means for the CJCS to carry out his statutory responsibilities to give strategic plans and direction to the Armed Forces of the United States and to advise and assistance to the SecDef.

PURPOSE:

- Interacts with other DOD systems.
- Provides means for CJCS to:
 - review National security environment
 - evaluate the threat
 - assess current strategy and propose new military strategy
 - advise the SecDef on programs and forces to achieve national objectives
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 - review existing or proposed programs and budgets
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JOINT STRATEGIC PLANNING SYSTEM (JSPS)

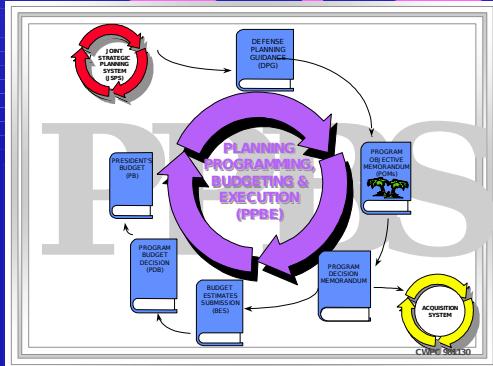
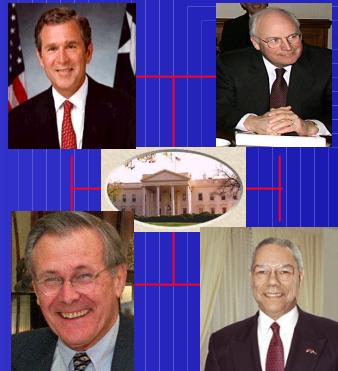
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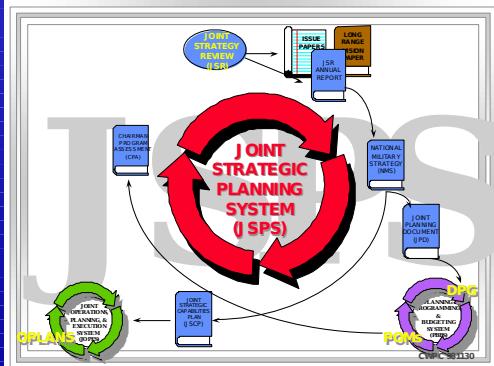
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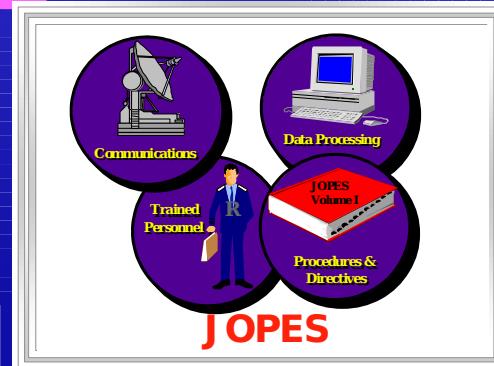
National Security Planning, Programming, Budgeting & Execution process NSCS



Joint Strategic Planning System JSPS



Joint Operation Planning & Execution System JOPES

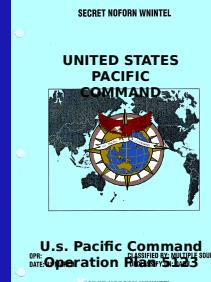
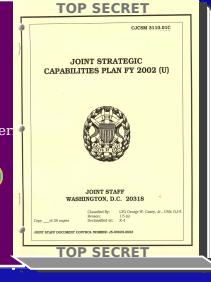
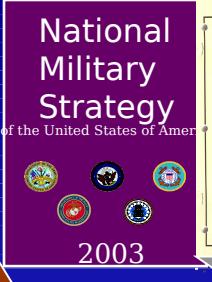
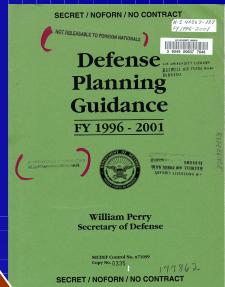
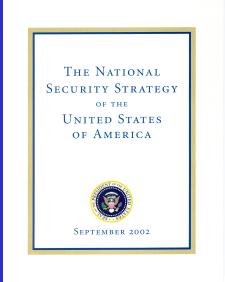


Defeat global terrorism;
Prevent attacks against
the US and its allies

Dissuade
Adversaries; Deter
Aggression; Defeat enemies

Military “Develop
Strategy Plans”

“Military
Capability”



Enhance
Global Security

Ensure US
Security

Develop
Plans



“TRANSLATE NATIONAL OBJECTIVES INTO MILITARY CAPABILITIES”



JOINT STRATEGIC PLANNING SYSTEM (JSPS)

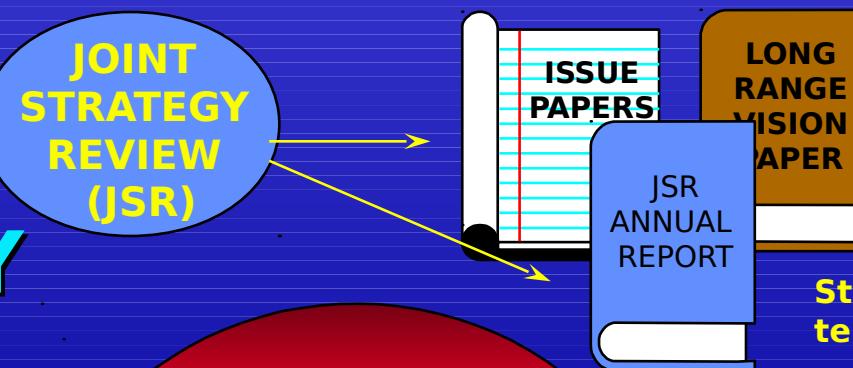
DEFINITION:

- JSPS is the formal means for the CJCS to carry out his statutory responsibilities to give strategic plans and direction to the Armed Forces of the United States and to advise and assistance to the SecDef.

PURPOSE:

- Interacts with other DOD systems.
- Provides means for CJCS to:
 - review National security environment
 - evaluate the threat
 - assess current strategy and propose new military strategy
 - advise the SecDef on programs and forces to achieve national objectives
 - direct development of strategic plans
 - review existing or proposed programs and budgets
 - evaluate capabilities and assess the program and budget
 - propose

SUMMARY





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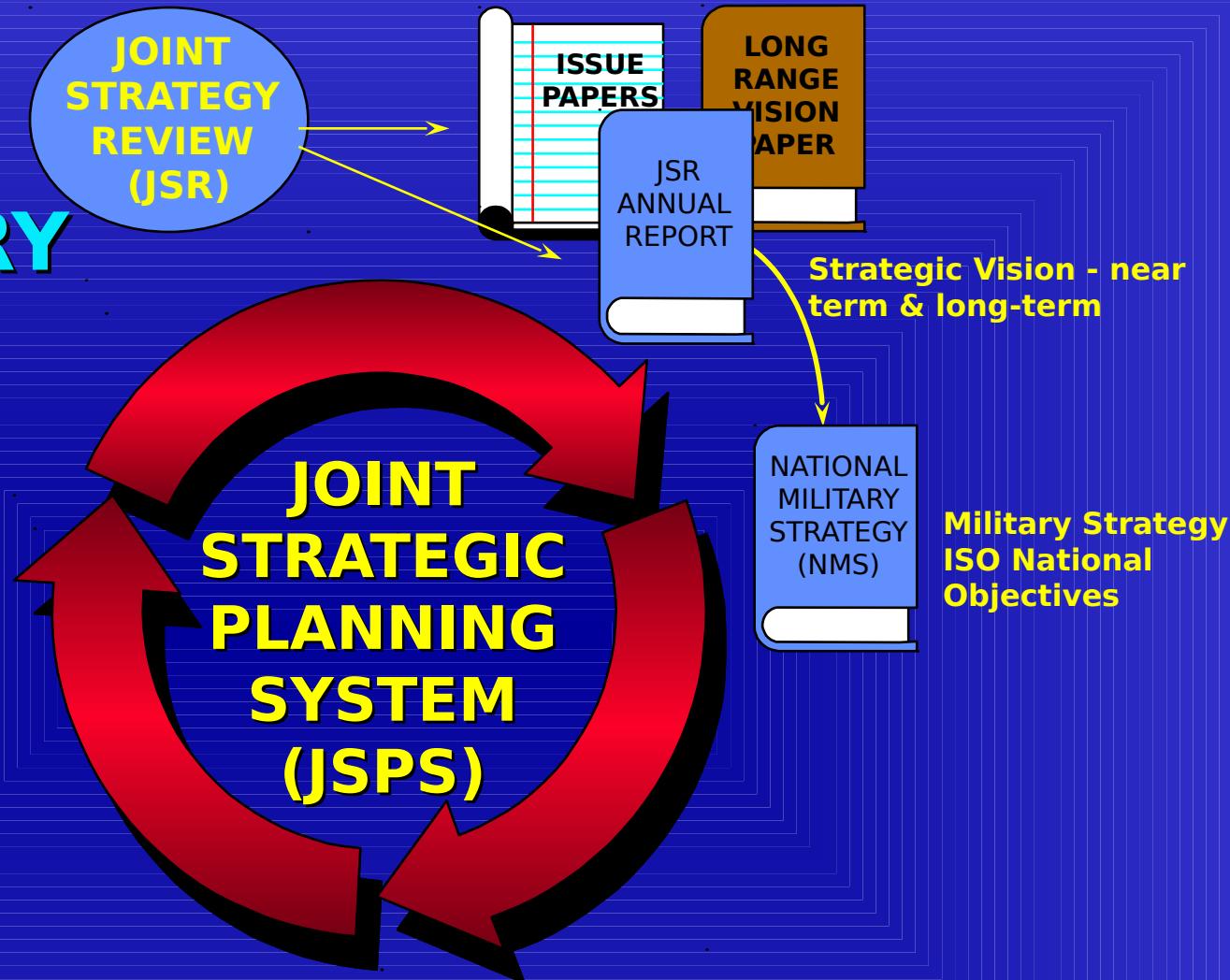
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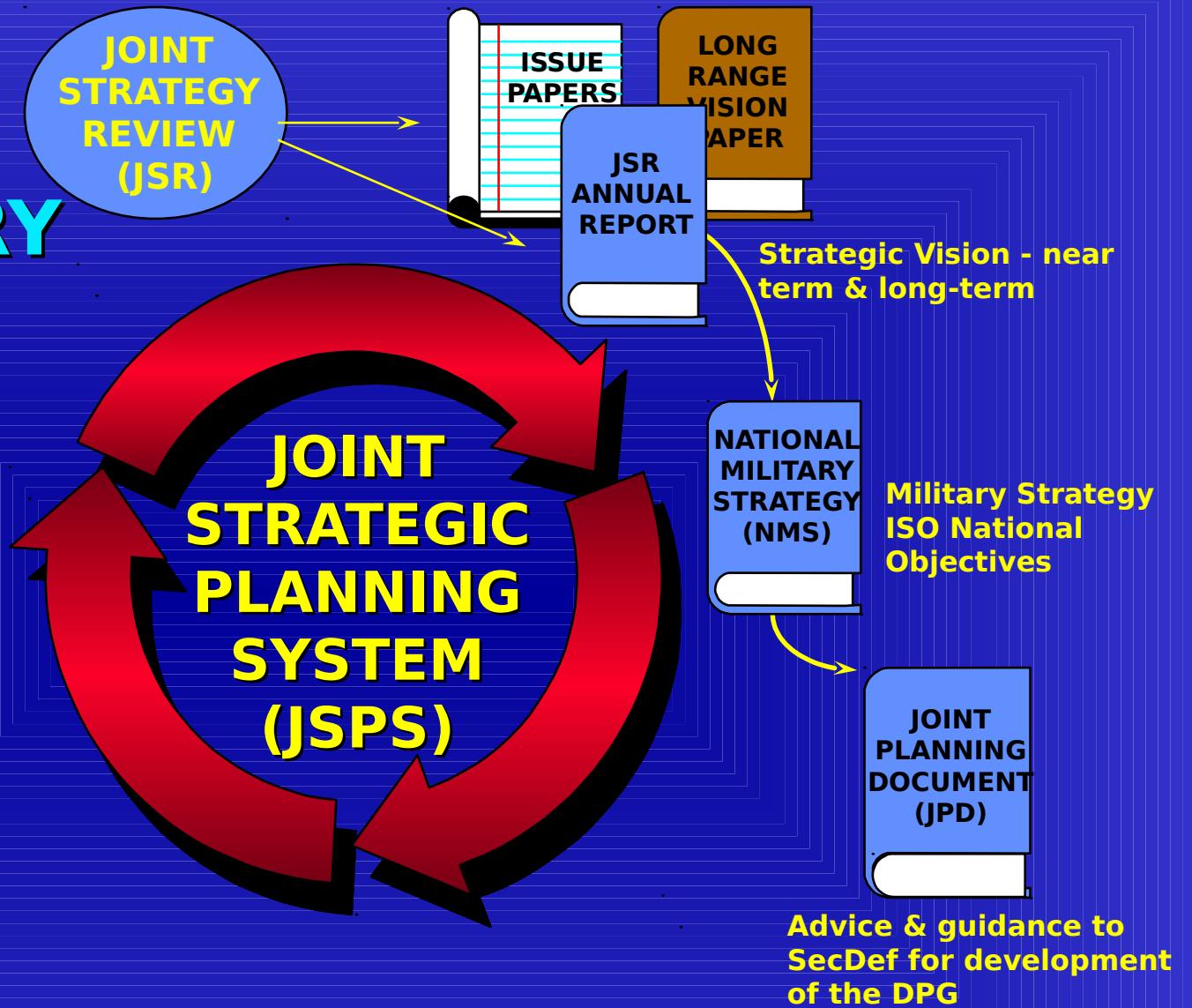
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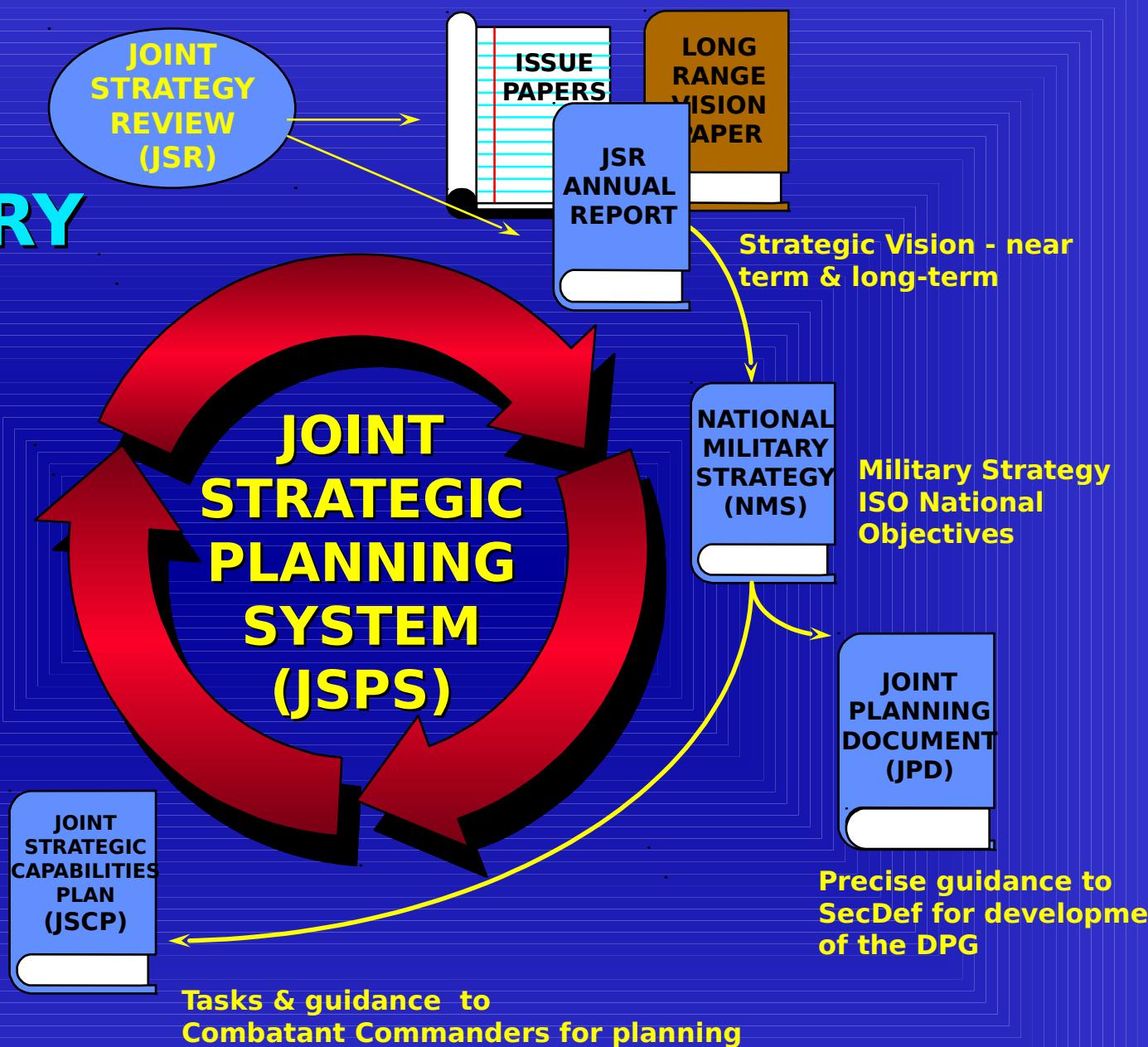
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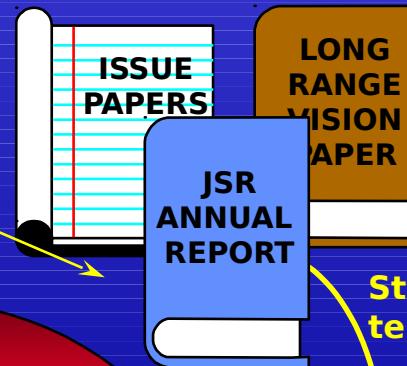
SUMMARY

CJCS's assessment of how POMs meet current priorities



Tasks & Guidance to
Combatant Commanders and Services for
Planning

JOINT
STRATEGY
REVIEW
(JSR)



Strategic Vision - near term & long-term



Military Strategy
ISO National Objectives



Precise guidance to
SecDef for development
of the DPG



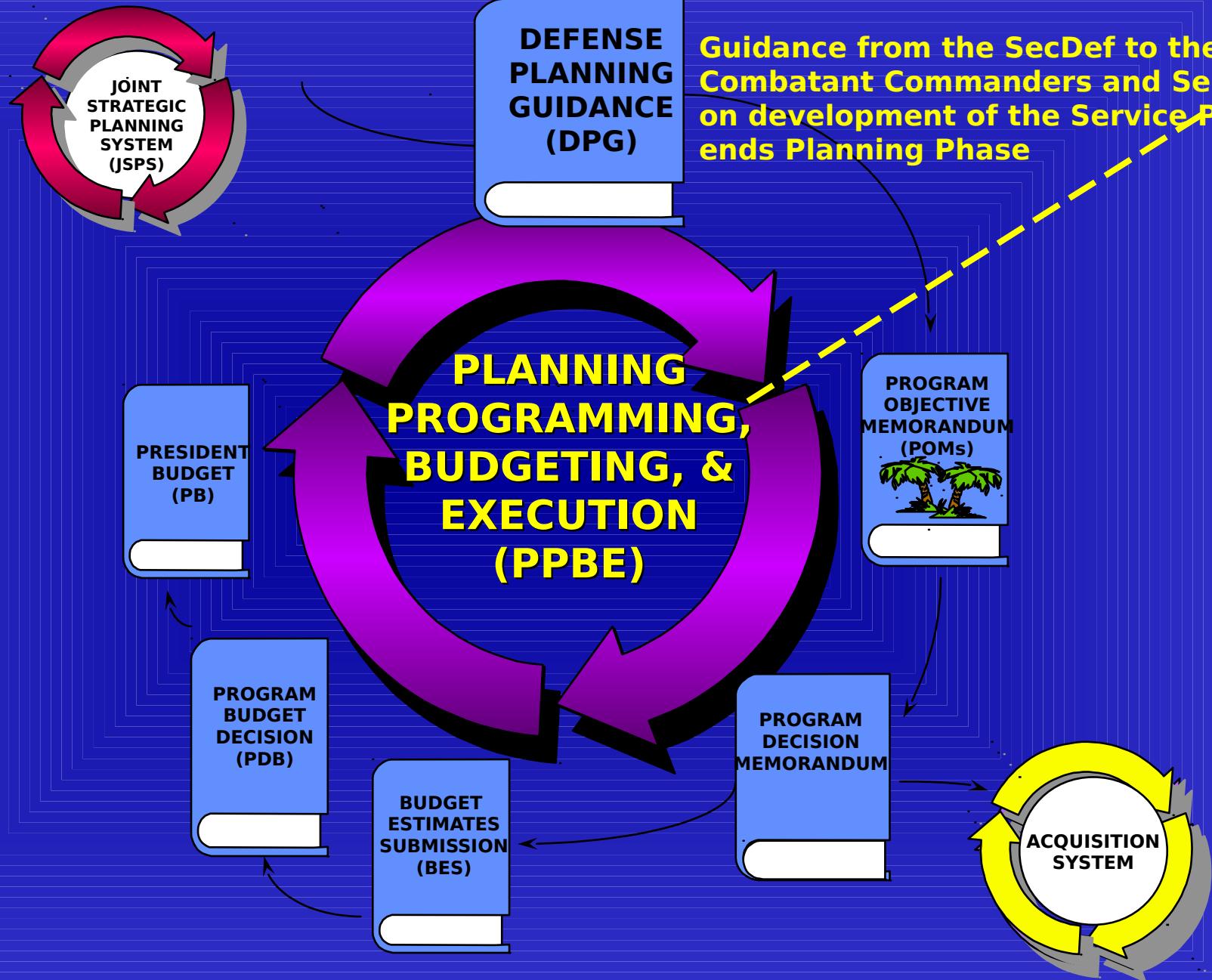
LANNING, PROGRAMMING, BUDGETING, & EXECUTION (PPBE) PROCESS

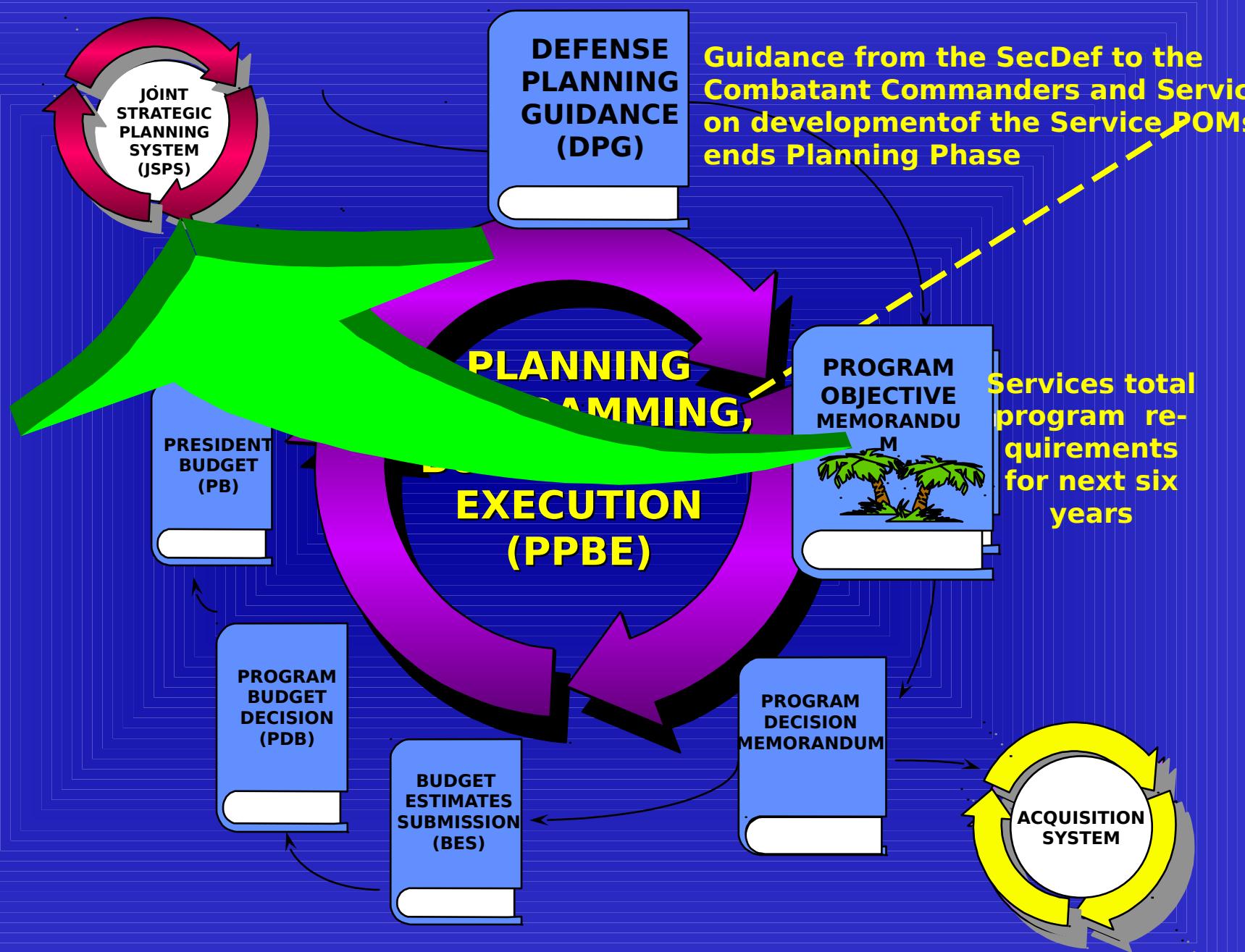
DEFINITION:

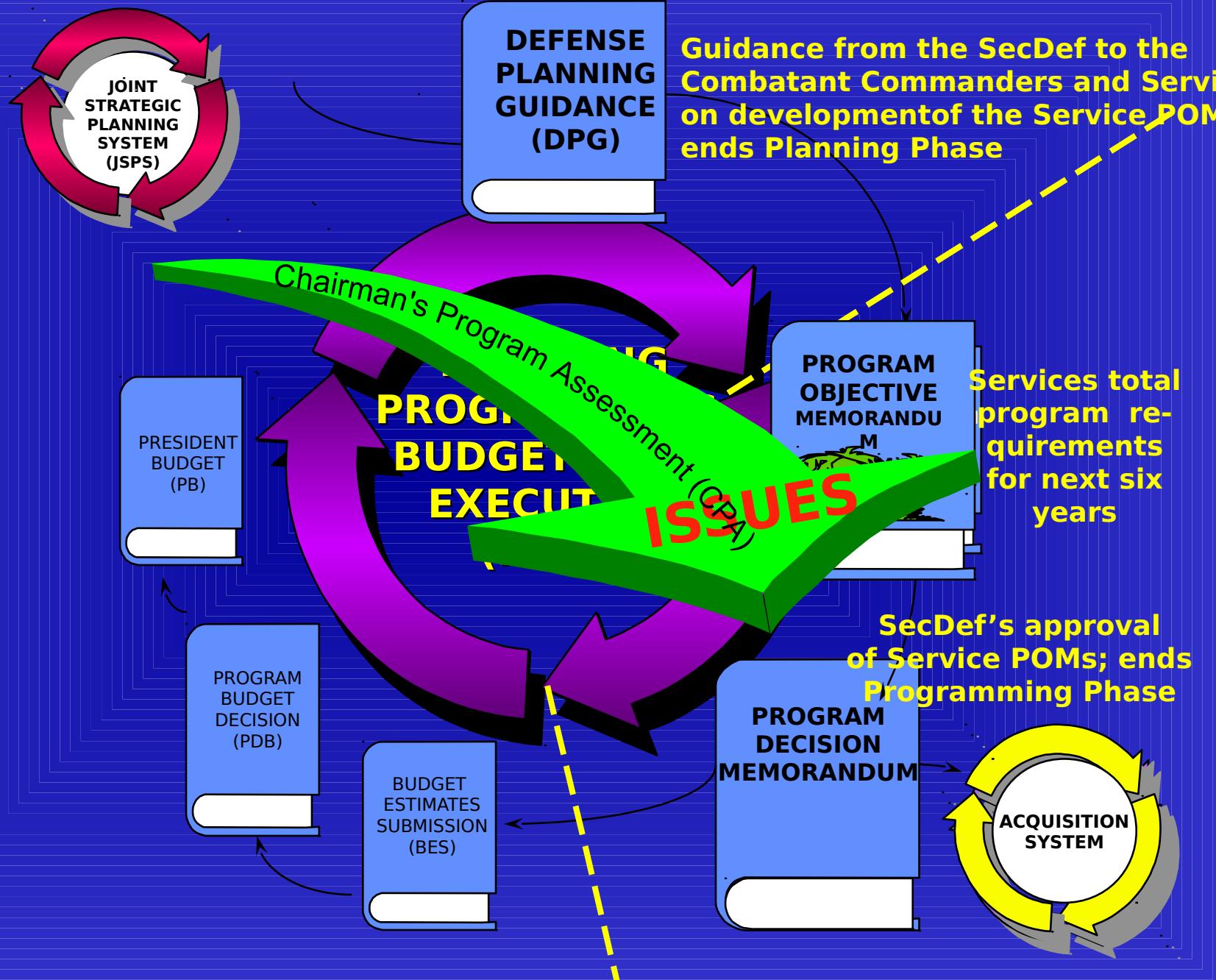
- DoD resource management system, controlled by the SecDef, used to establish, maintain, and revise the FYDP and DoD portion of the President's Budget.

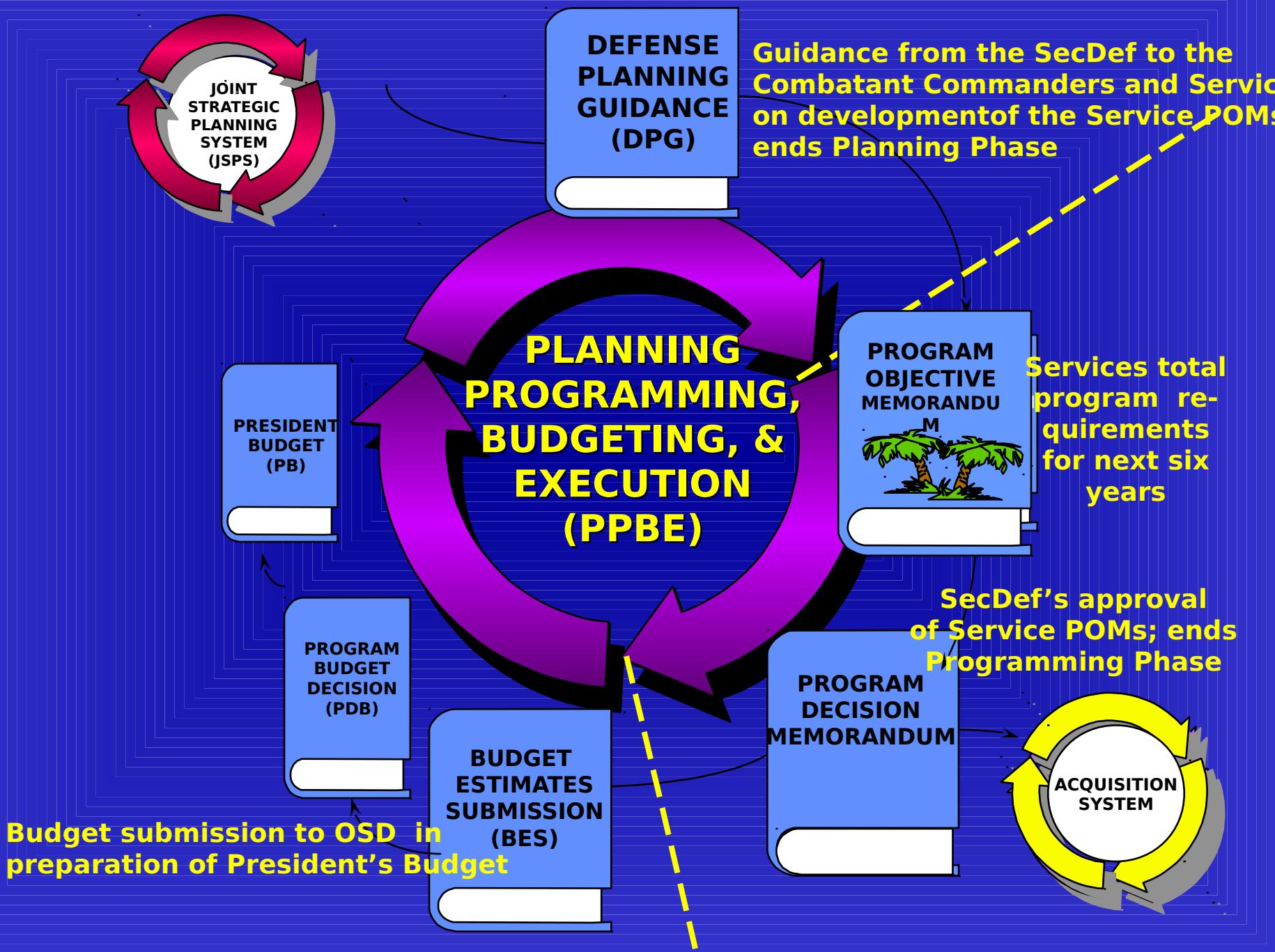
PURPOSE:

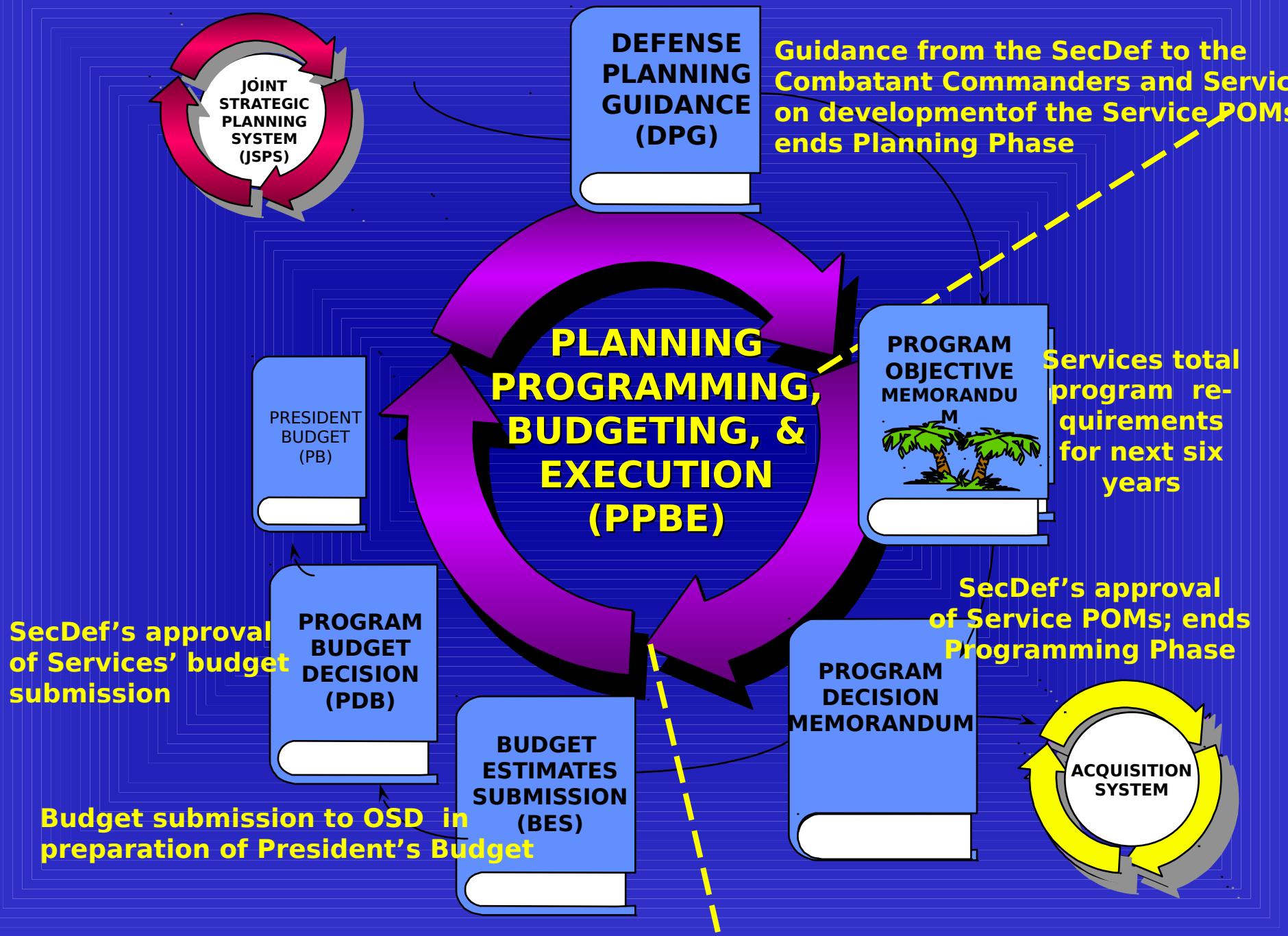
Objective of the PPBE is to provide the Combatant Commanders the best mix of forces, equipment, and support attainable within constraints

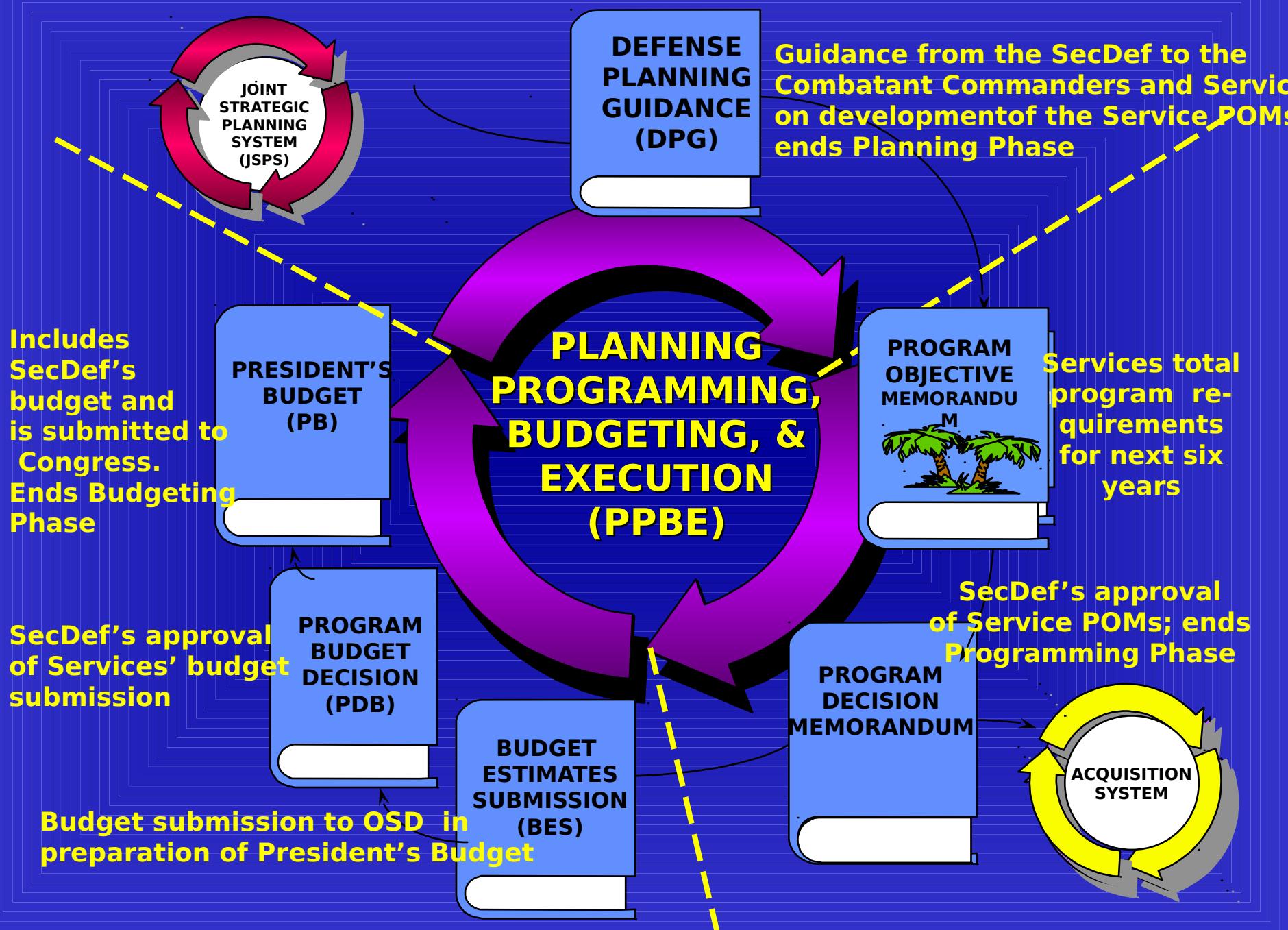












Congressional
Resolution, Authorization &
Appropriation

DoD Allocates
to Services

Services
Obligate

Includes
SecDef's
budget and
is submitted to
Congress.
Ends Budgeting
Phase

SecDef's approval
of Services' budget
submission

DEFENSE
PLANNING
GUIDANCE
(DPG)

Guidance from the SecDef to the
Combatant Commanders and Service
on development of the Service POMs
ends Planning Phase

Feedback

PLANNING
PROGRAMMING,
BUDGETING, &
EXECUTION
(PPBE)

PRESIDENT'S
BUDGET
(PB)

PROGRAM
OBJECTIVE
MEMORANDU
M

Services total
program re-
quirements
for next six
years

PROGRAM
BUDGET
DECISION
(PDB)

SecDef's approval
of Service POMs; ends
Programming Phase

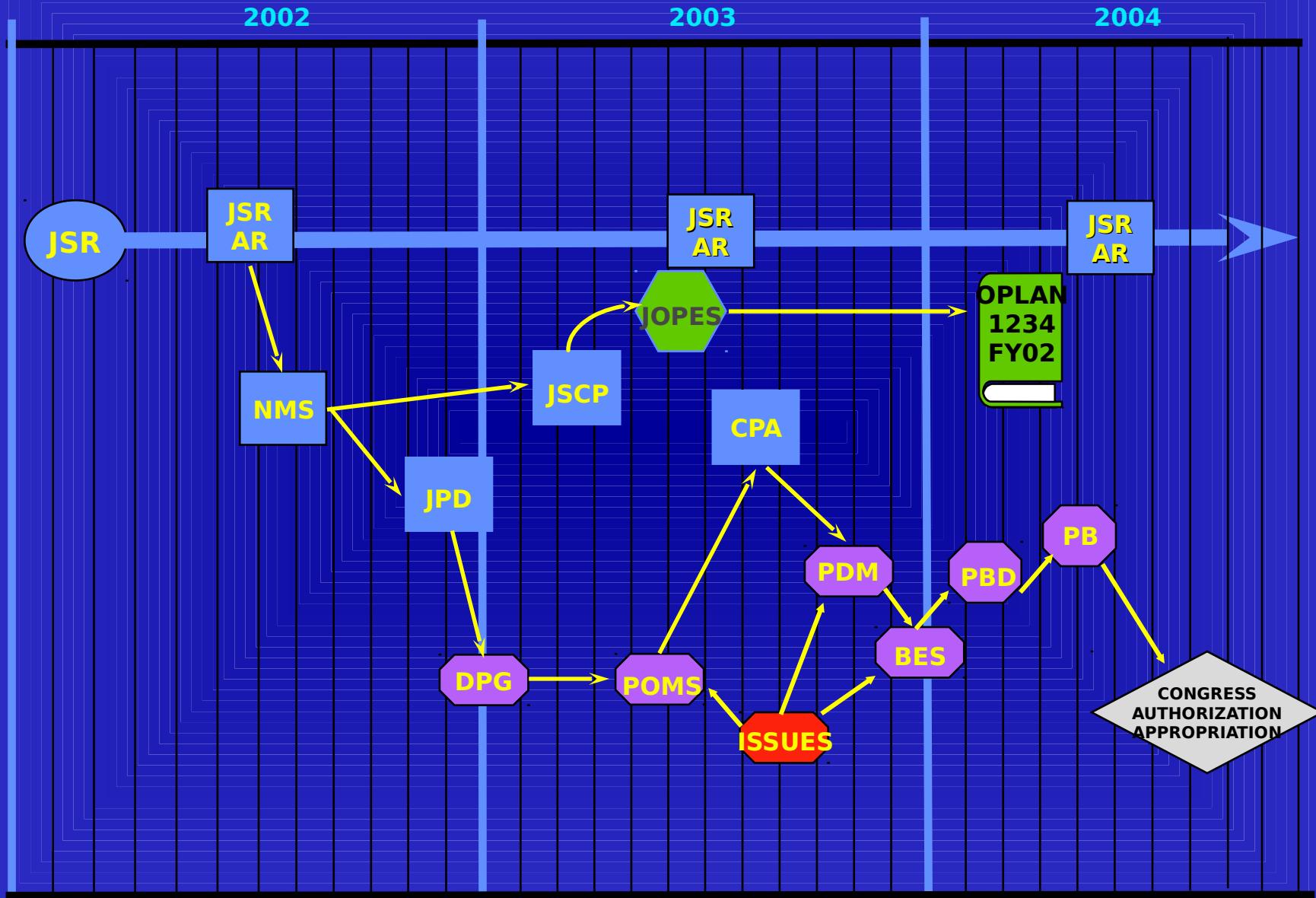
BUDGET
ESTIMATES
SUBMISSION
(BES)

PROGRAM
DECISION
MEMORANDUM

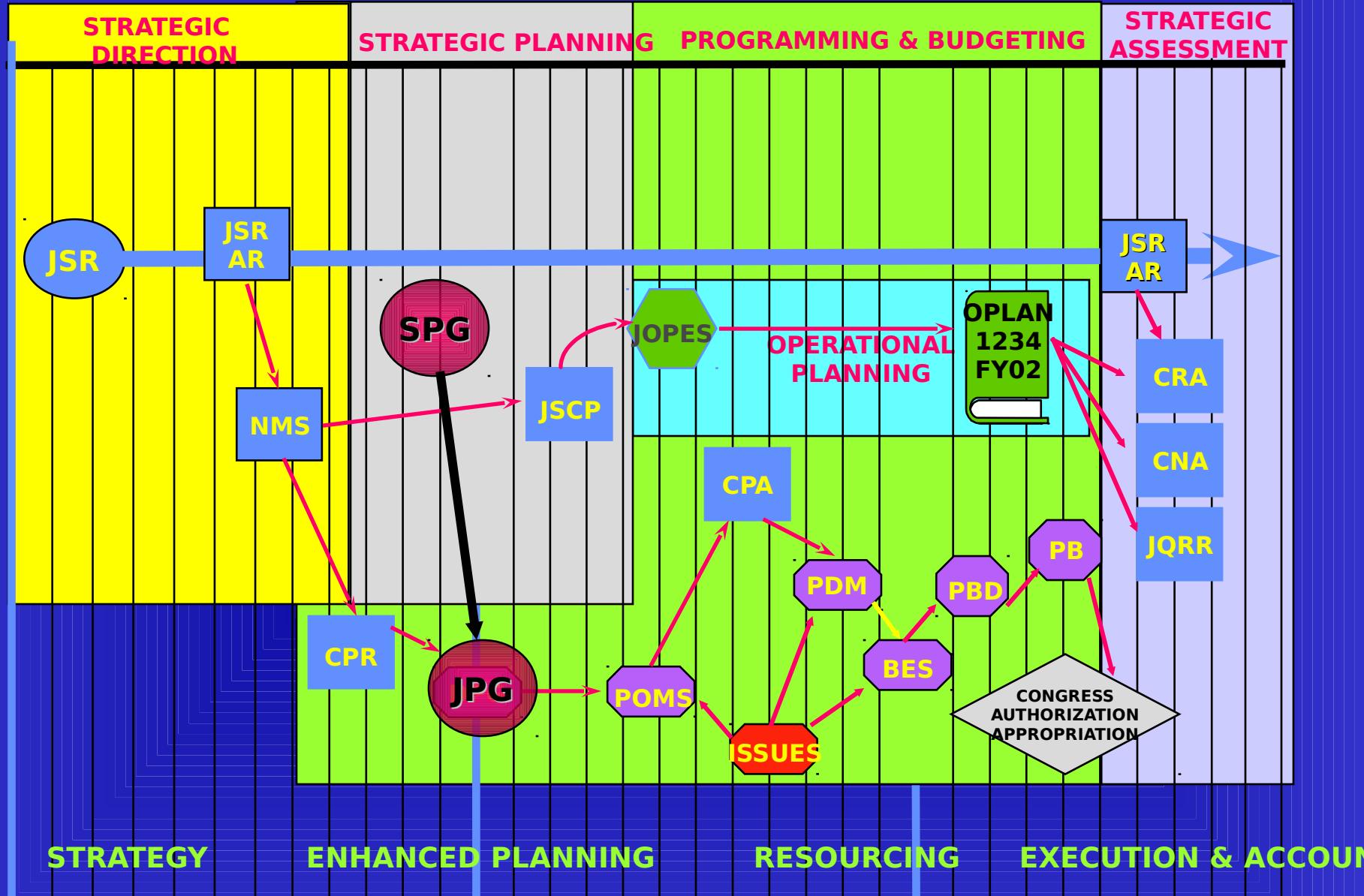
Budget submission to OSD in
preparation of President's Budget

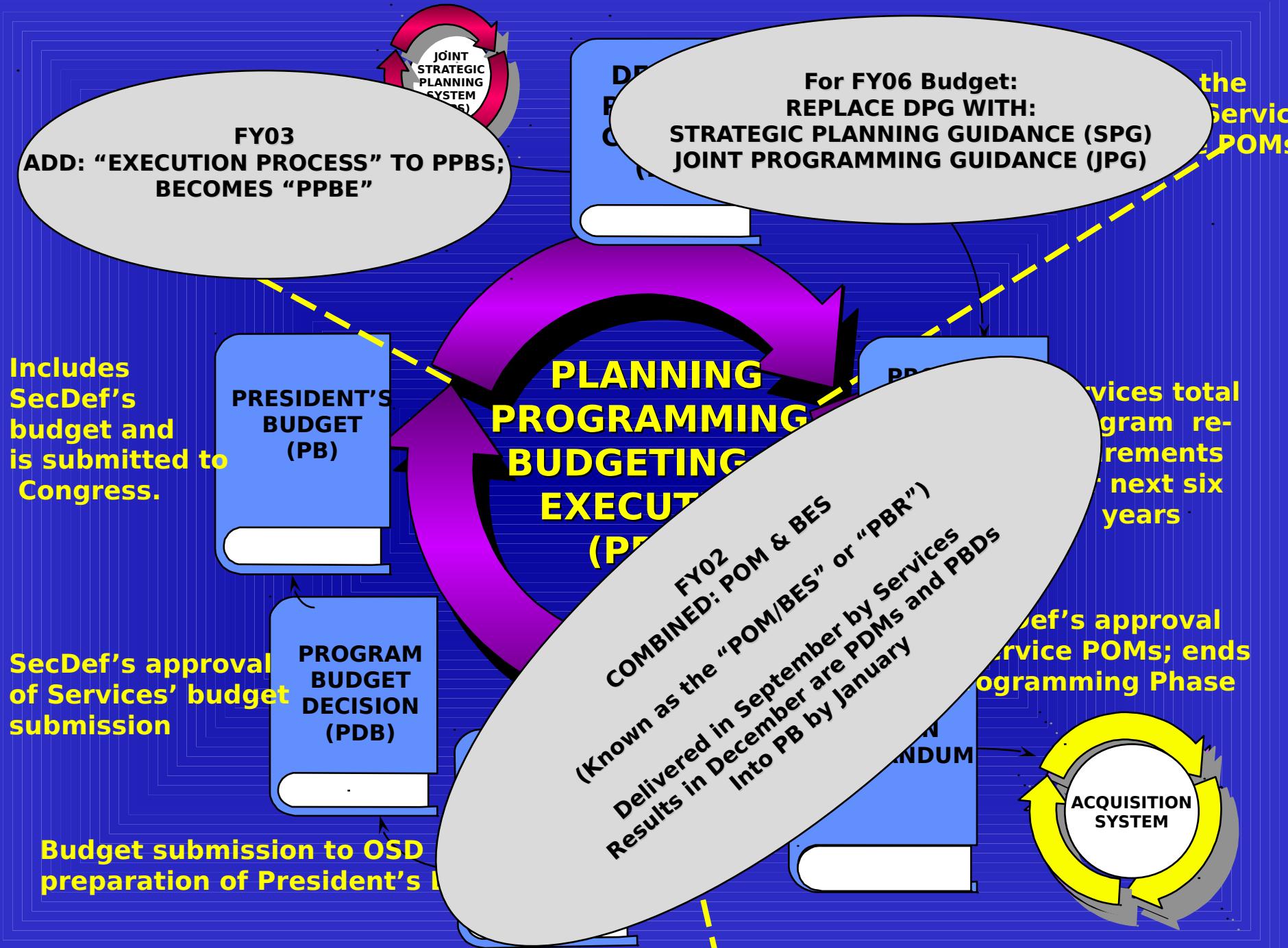
ACQUISITION
SYSTEM

DOD PLANNING SYSTEMS RELATIONSHIPS



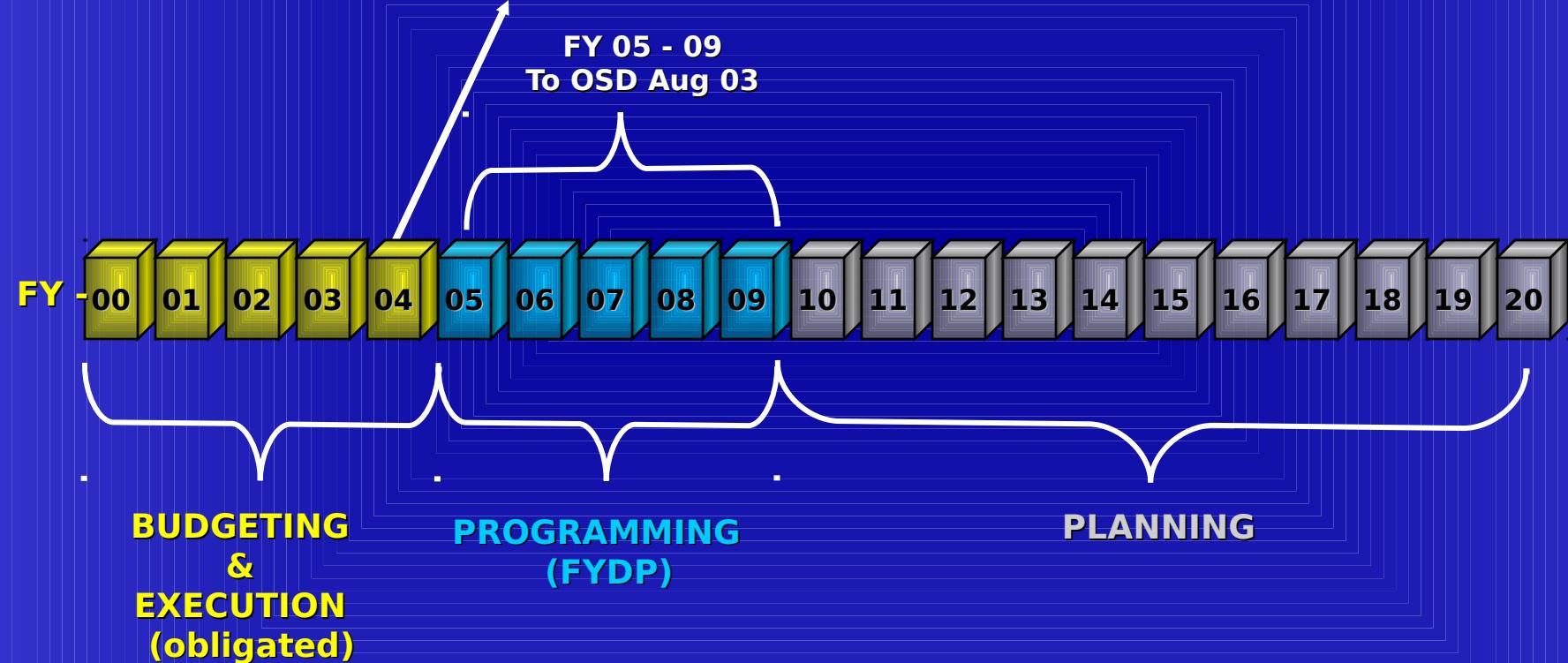
JSPS & THE JOINT DEFENSE CAPABILITIES PROCESS





FY 04 Budget
Approved by Congress
Signed by the President
in Nov 03

FY 05 - 09
To OSD Aug 03





Questions ?